

Gradual Typing for Objects: Isabelle Formalization

Jeremy Siek

Department of Computer Science
University of Colorado
430 UCB
Boulder, CO 80309-0430 USA

Walid Taha

Department of Computer Science
Rice University
Mail Stop 132
6100 S. Main Street
Houston, TX 77005-1892

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1 Introduction

This technical report is a formalization of a new calculus named **FOb** $_{<}^?$, that extends the **FOb** $_{<}^?$ calculus of Abadi and Cardelli [1] with support for gradual typing. We introduced the notion of gradual typing in the context of functional languages, developing the $\lambda^?$ calculus [6]. The work in this technical report, and in the companion paper, *Gradual Typing for Objects*, shows how to integrate gradual typing into object-oriented languages. The companion papers gives the motivation for this work, an introduction to gradual typing, and a detailed discussion of related work. This technical report contains the formalization of the type system and semantics using the Isabelle/HOL proof assistant [4], and is meant to be a reference for readers of the companion paper.

2 Choosing Fresh Variables

In various places within the formal development we need to choose a “fresh” variable. More specifically, we need to choose a variable that is not in some set, such as the domain of the type environment. Variables are represented here as natural numbers, and we constructively choose a fresh variable by taking the successor of the maximum number in the set. Of course, we must assume that the set in question is finite.

```
constdefs max :: nat ⇒ nat ⇒ nat
  max x y ≡ (if x < y then y else x)
declare max-def[simp]
```

To define the maximum number in a set, we take advantage of Isabelle’s ability to fold over a finite set. To use fold with the above max function, we must first prove a few properties of max, but the proofs go through automatically.

```
interpretation AC-max: ACe [max 0::nat]
  by (auto intro: ACf.intro ACe-axioms.intro)
```

```
constdefs setmax :: nat set ⇒ nat
  setmax S ≡ fold max (λ x. x) 0 S
```

We want to show that the successor of the maximum element of a set is not in the set. Towards proving that we prove the following lemma.

```
lemma max-ge: finite L ==> ∀ x ∈ L. x ≤ setmax L
  apply (induct rule: finite-induct)
  apply simp
  apply clarify
  apply (case-tac xa = x)
proof -
  fix x and F::nat set and xa
  assume fF: finite F and xf: x ∉ F and xax: xa = x
  from fF xf have mc: setmax (insert x F) = max x (setmax F)
```

```

apply (simp only: setmax-def)
apply (rule AC-max.fold-insert)
apply auto done
with xax show xa ≤ setmax (insert x F)
  apply clarify by simp
next
fix x and F::nat set and xa
assume ff: finite F and xf: x ∉ F
  and axF: ∀x∈F. x ≤ setmax F
  and xsxF: xa ∈ insert x F
  and xax: xa ≠ x
from xax xsxF have xaf: xa ∈ F by auto
with xaf have xasF: xa ≤ setmax F by blast
from ff xF have mc: setmax (insert x F) = max x (setmax F)
  apply (simp only: setmax-def)
  apply (rule AC-max.fold-insert)
  apply auto done
with xasF show xa ≤ setmax (insert x F) by auto
qed

lemma max-is-fresh[simp]:
  assumes F: finite L shows Suc (setmax L) ∉ L
proof
  assume ssl: Suc (setmax L) ∈ L
  with F max-ge have Suc (setmax L) ≤ setmax L by blast
  thus False by simp
qed

lemma greaterthan-max-is-fresh[simp]:
  assumes F: finite L and I: setmax L < i
  shows i ∉ L
proof
  assume ssl: i ∈ L
  with F max-ge have i ≤ setmax L by blast
  with I show False by simp
qed

```

3 Abstract Syntax

The signatures in an object type are represented in a list, and are assumed to appear in the order of the method name. The methods in and object are also represented by a sorted list.

```

datatype ty = IntT | FloatT | BoolT | ArrowT ty ty (infixr → 95)
  | ObjT sig list | UnknownT (?)
and
sig = Sig nat ty

```

```
constdefs ground :: ty set
ground ≡ { IntT, BoolT, FloatT }
```

```
datatype const = IntC int | FloatC int | BoolC bool | Succ | IsZero
```

The expr datatype is used for both the source language, $\mathbf{FOb}_{<:}^?$, and the intermediate language $\mathbf{FOb}_{<:}^{(,)}$. We use the *locally nameless* approach for representing variables [2, 3, 5]. In the locally nameless approach, bound variables are represented with de Bruijn indices whereas free variables are represented with symbols. This approach enjoys the benefits of the de Bruijn indices (α -equivalent terms are syntactically identical) while avoiding much of the complication (normally caused by representing free variables with de Bruijn indices). Separate functions are used to substitution for free and bound variables.

```
datatype expr = BVar nat | FVar nat | Const const
| Lam ty expr (λ:-, - [53,53] 52) | App expr expr
| Cast expr ty ty (-⟨-⇒-⟩ [53,53,53] 52)
| Obj method list ty | Invoke expr nat | Update expr method
and
method = Method nat expr
```

```
syntax just :: 'a ⇒ 'a option
translations just τ == Some τ
```

3.1 Substitution for Free Variables

```
consts
fsubst :: nat ⇒ expr ⇒ expr ⇒ expr ([→-]- [54,54,54] 53)
fsubstm :: nat ⇒ expr ⇒ method ⇒ method ([::→-]- [54,54,54] 53)
fsubssts :: nat ⇒ expr ⇒ method list ⇒ method list ([:::→-]- [54,54,54] 53)

primrec
fbvar: [z→e](BVar i) = BVar i
ffvar:[z→e](FVar x) = (if z = x then e else (FVar x))
[z→e](Const c) = Const c
flam: [z→e](λ:σ. e') = (λ:σ. [z→e]e')
[z→e](App e1 e2) = App ([z→e]e1) ([z→e]e2)
[z→e](Cast e' s t) = Cast ([z→e]e') s t
[z→e](Obj ms τ) = Obj (fsubssts z e ms) τ
[z→e](Invoke e' l) = Invoke ([z→e]e') l
[z→e](Update e' m) = Update ([z→e]e') ([z:→e]m)

[z:→e](Method l e') = (Method l ([z→e]e'))
```

```
[z::→e][] = []
[z::→e](m#ms) = ([z:→e]m)#[[z::→e]ms]
```

3.2 Substitution for Bound Variables

```
consts
bsubst :: nat ⇒ expr ⇒ expr ⇒ expr ({→-}- [54,54,54] 53)
```

```

bsubstm :: nat ⇒ expr ⇒ method ⇒ method ({-→-} [54,54,54] 53)
bsubsts :: nat ⇒ expr ⇒ method list ⇒ method list ({-::→-} [54,54,54] 53)
primrec
  bbvar: {k→e}(BVar i) = (if k = i then e else (BVar i))
  bfvar: {k→e}(FVar x) = FVar x
  {k→e}(Const c) = Const c
  blam: {k→e}(\λ:σ. e') = (\λ:σ. {Suc k→e}e')
  {k→e}(App e1 e2) = App ({k→e}e1) ({k→e}e2)
  {z→e}(Cast e' s t) = Cast ({z→e}e') s t
  {k→e}(Obj ms τ) = Obj (bsubsts k e ms) τ
  {k→e}(Invoke e' l) = Invoke ({k→e}e') l
  {k→e}(Update e' m) = Update ({k→e}e') ({k:→e}m)

  {k:→e}(Method l e') = (Method l ({k→e}e'))
  {k::→e} [] = []
  {k::→e} (m#ms) = ({k:→e}m)#{({k:→e}ms)}

```

4 Consistency and Subtyping

constdefs

```

mname :: method ⇒ nat (name)
mname m ≡ (case m of (Method l e) ⇒ l)

```

constdefs

```

ms-name :: sig ⇒ nat (name)
ms-name m ≡ (case m of (Sig l τ) ⇒ l)
ms-ty :: sig ⇒ ty
ms-ty s ≡ (case s of (Sig l τ) ⇒ τ)

```

consts *lookup-sig* :: sig list ⇒ nat ⇒ sig option

primrec

```

  lookup-sig [] l = None
  lookup-sig (m#ms) l = (if ms-name m = l then Some m
                           else lookup-sig ms l)

```

consts *Dom* :: method list ⇒ nat set

primrec

```

  Dom [] = {}
  Dom (m#ms) = insert (mname m) (Dom ms)

```

consts *DomT* :: sig list ⇒ nat set

primrec

```

  DomT [] = {}
  DomT (m#ms) = insert (ms-name m) (DomT ms)

```

consts

```

  consistent :: (ty × ty) set
  consistent-sig :: (sig × sig) set

```

```

consistent-sigs :: (sig list × sig list) set
syntax
  consistent :: ty ⇒ ty ⇒ bool (infix ~ 51)
  consistent-sig :: sig ⇒ sig ⇒ bool (infix ≅ 51)
  consistent-sigs :: sig list ⇒ sig list ⇒ bool (infix ≈ 51)
translations
  τ1 ~ τ2 == (τ1,τ2) ∈ consistent
  τ1 ≅ τ2 == (τ1,τ2) ∈ consistent-sig
  τ1 ≈ τ2 == (τ1,τ2) ∈ consistent-sigs
inductive consistent consistent-sig consistent-sigs intros
  CRefl[intro!]: τ ~ τ
  CFun[intro!]: [ σ1 ~ τ1; σ2 ~ τ2 ] ==> (σ1 → σ2) ~ (τ1 → τ2)
  CUnR[intro!]: τ ~ ?
  CUnL[intro!]: ? ~ τ
  COBJT[intro!]: ss ≈ tt ==> ObjT ss ~ ObjT tt
  CSig[intro!]: [ l = l'; σ ~ τ ] ==> Sig l σ ≅ Sig l' τ
  CNilT[intro!]: [] ≈ []
  CConstT[intro!]: [ s ≅ t; ss ≈ tt ] ==> (s#ss) ≈ (t#tt)

inductive-cases con-fun-inv[elim!]: s1 → s2 ~ t1 → t2
inductive-cases con-sig-inv[elim!]: s ≅ t
inductive-cases con-sigs-inv: ss ≈ tt

lemma consistent-reflexive:
  (σ ~ σ) ∧ (s ≅ s) ∧ (ss ≈ ss)
  apply (induct rule: ty-sig.induct)
  apply auto
  done

lemma consistent-sigs-reflexive:
  ss ≈ ss
  using consistent-reflexive by simp

lemma consistent-symmetric:
  (σ ~ τ → τ ~ σ) ∧ (s ≅ t → t ≅ s) ∧ (ss ≈ tt → tt ≈ ss)
  apply (induct rule: consistent-consistent-sig-consistent-sigs.induct)
  by auto

inductive-cases cons-int-bool[elim!]: IntT ~ BoolT

lemma consistent-not-trans:
  ¬ (∀ τ1 τ2 τ3. τ1 ~ τ2 ∧ τ2 ~ τ3 → τ1 ~ τ3)
proof -
  have A: IntT ~ ? by auto
  have B: ? ~ BoolT by auto
  have C: ¬ (IntT ~ BoolT) by auto
  from A B C show ?thesis by auto
qed

```

```

lemma cons-sig-name:  $s \cong t \implies ms\text{-name } s = ms\text{-name } t$ 
  using ms-name-def by auto

```

4.1 Type Restriction

It is difficult to express the type restriction operator as a function in Isabelle because it requires general recursion and mutual recursion, which is not supported by the recdef facility. We instead define the restrict operator via axioms. Given more time, it would be preferable to define it as a relation and then prove that the relation is a function.

```

consts
  restrict-ty :: ty  $\Rightarrow$  ty  $\Rightarrow$  ty (-|- [99,99] 98)
  restrict-sig :: sig  $\Rightarrow$  sig  $\Rightarrow$  sig (- $\downarrow$ - [99,99] 98)
  restrict-sigs :: sig list  $\times$  sig list  $\Rightarrow$  sig list

syntax
  restrict-sigs- :: sig list  $\Rightarrow$  sig list  $\Rightarrow$  sig list (-||- [202,202] 201)

translations
  ss||tt == restrict-sigs(ss, tt)

lemma rbool[simp]: BoolT| $\tau$  = (if  $\tau = ?$  then ? else BoolT) sorry
lemma rint[simp]: IntT| $\tau$  = (if  $\tau = ?$  then ? else IntT) sorry
lemma rfloat[simp]: FloatT| $\tau$  = (if  $\tau = ?$  then ? else FloatT) sorry
lemma rfun[simp]:
   $(\sigma_1 \rightarrow \sigma_2)|\tau =$ 
   $(\text{case } \tau \text{ of IntT} \Rightarrow (\sigma_1 \rightarrow \sigma_2) \mid \text{FloatT} \Rightarrow (\sigma_1 \rightarrow \sigma_2) \mid \text{BoolT} \Rightarrow (\sigma_1 \rightarrow \sigma_2)$ 
   $\mid \tau_1 \rightarrow \tau_2 \Rightarrow \sigma_1|\tau_1 \rightarrow \sigma_2|\tau_2$ 
   $\mid \text{ObjT } tt \Rightarrow (\sigma_1 \rightarrow \sigma_2)$ 
   $\mid ? \Rightarrow ?)$  sorry
lemma robj[simp]:
   $(\text{ObjT } ss)|\tau =$ 
   $(\text{case } \tau \text{ of IntT} \Rightarrow (\text{ObjT } ss) \mid \text{FloatT} \Rightarrow (\text{ObjT } ss) \mid \text{BoolT} \Rightarrow (\text{ObjT } ss)$ 
   $\mid \tau_1 \rightarrow \tau_2 \Rightarrow (\text{ObjT } ss)$ 
   $\mid \text{ObjT } tt \Rightarrow \text{ObjT } (ss||tt)$ 
   $\mid ? \Rightarrow ?)$  sorry
lemma runk[simp]:
   $?|\tau = ?$  sorry

defs restrict-sig-def:
   $s \downarrow t \equiv (\text{case } s \text{ of } (\text{Sig } l \ \sigma) \Rightarrow (\text{case } t \text{ of } (\text{Sig } l' \ \tau) \Rightarrow$ 
     $\quad \text{if } l = l' \text{ then } \text{Sig } l \ (\sigma|\tau) \text{ else } \text{Sig } l \ \sigma))$ 
declare restrict-sig-def[simp]

recdef restrict-sigs measure ( $\lambda p. \text{size } (\text{fst } p) + \text{size } (\text{snd } p)$ )
  restrict-sigs(ss, tt) =  $(\text{case } ss \text{ of } [] \Rightarrow []$ 
   $\mid (s \# ss) \Rightarrow$ 
     $\quad (\text{case } tt \text{ of } [] \Rightarrow (s \# ss)$ 
     $\mid (t \# tt) \Rightarrow$ 

```

```

if ms-name s < ms-name t then s#(restrict-sigs(ss, t#tt))
else (if ms-name s > ms-name t then restrict-sigs(s#ss,tt)
      else (s↓t)#{(restrict-sigs(ss,tt)))))

lemma restrict-sig-name: ms-name (s↓t) = ms-name s
  apply (case-tac s) apply (case-tac t) using ms-name-def by auto

lemma restrict-self-id: ( $\tau|\tau = (\tau::ty)$ )  $\wedge$  ( $t\downarrow t = t$ )  $\wedge$  ( $tt||tt = tt$ )
  apply (induct rule: ty-sig.induct)
  apply force apply force apply force apply force apply force apply force
  apply force apply force apply force
  done

lemma consistent-restrict-impl:
( $\forall (\varrho::ty) \tau. n = \text{size } \tau + \text{size } \varrho \longrightarrow \tau \sim \tau|\varrho$ )
 $\wedge (\forall r t. n = \text{size } t + \text{size } r \longrightarrow t \cong t\downarrow r)$ 
 $\wedge (\forall rr tt. n = \text{sig-list-size } tt + \text{sig-list-size } rr \longrightarrow tt \approx tt||rr)$  (is ?P n)
proof (induct rule: nat-less-induct)
  fix n
  assume IH:  $\forall m < n. ?P m$ 
  show ?P n
    apply (rule conjI) apply (rule allI)+ apply (rule impI) defer
    apply (rule conjI) apply (rule allI)+ apply (rule impI) defer
    apply (rule allI)+ apply (rule impI) defer
  proof –
    fix  $\varrho::ty$  and  $\tau::ty$  assume  $n: n = \text{size } \tau + \text{size } \varrho$ 
    show  $\tau \sim \tau|\varrho$ 
      apply (cases  $\tau$ )
      apply (cases  $\varrho$ ) apply force apply force apply force apply force apply force
        apply force
      apply (cases  $\varrho$ ) apply force apply force apply force apply force apply force
        apply force
      apply (cases  $\varrho$ ) apply force apply force apply force apply force apply force
        apply force
      apply (cases  $\varrho$ ) apply force apply force apply force defer apply force apply force
      force
      apply (cases  $\varrho$ ) apply force apply force apply force apply force apply force
      force
      apply force defer
  proof –
    fix tt rr assume t:  $\tau = ObjT tt$  and r:  $\varrho = ObjT rr$ 
    let ?m = sig-list-size tt + sig-list-size rr
    from t r n have mn: ?m < n by auto
    from mn IH have tt rr:  $tt \approx tt||rr$  by auto
    with t r show ?thesis by auto
  next
    fix t1 t2 r1 r2 assume t:  $\tau = t1 \rightarrow t2$  and r:  $\varrho = r1 \rightarrow r2$ 
    let ?m1 = size t1 + size r1
    from n t r have mn1: ?m1 < n by auto
    from mn1 IH have t1r1:  $t1 \sim t1|r1$  by simp

```

```

let ?m2 = size t2 + size r2
from n t r have mn2: ?m2 < n by auto
from mn2 IH have t2r2: t2 ~ t2|r2 by simp

from t1r1 t2r2 t r show ?thesis by auto
qed
next
fix r::sig and t::sig
assume n: n = size t + size r
obtain l τ where t: t = Sig l τ apply (cases t) by auto
obtain l' ρ where r: r = Sig l' ρ apply (cases r) by auto
let ?m = size τ + size ρ
from t r n have mn: ?m < n by auto
from mn IH have tr: τ ~ τ|ρ by auto
with t r show t ≡ t↓r by auto
next
fix rr tt assume n: n = sig-list-size tt + sig-list-size rr
show tt ≈ tt||rr
apply (cases tt)
apply force
apply (cases rr)
apply simp using consistent-reflexive apply blast
proof -
fix t ts r rs assume t: tt = t#ts and r: rr = r#rs
show ?thesis
proof (cases ms-name t < ms-name r)
assume tr-name: ms-name t < ms-name r

from t r tr-name have ttrr: tt||rr = t#(ts||(r#rs)) by simp
let ?m = sig-list-size ts + sig-list-size (r#rs)
from n t r have mn: ?m < n by auto
from mn IH have tsrs: ts ≈ ts||(r#rs) by blast
have tt: t ≡ t using consistent-reflexive by blast
from tt tsrs have t#(ts) ≈ t#(ts||(r#rs)) by (rule CConstT)
with t ttrr show ?thesis by simp
next
assume tr-name: ¬(ms-name t < ms-name r)
show ?thesis
proof (cases ms-name t = ms-name r)
assume ter: ms-name t = ms-name r
from t r ter have ttrr: tt||rr = (t↓r)#{(ts||rs)} by simp

let ?m = sig-list-size ts + sig-list-size rs
from n t r have mn: ?m < n by auto
from mn IH have tsrs: ts ≈ ts||rs by blast

let ?m2 = size t + size r
from n t r have mn2: ?m2 < n by auto
from mn2 IH have ttr: t ≡ t↓r by blast

```

```

from ttr tsrs have t#ts ≈ (t↓r)#{(ts||rs)} by (rule CConsT)
with t r tttr show ?thesis by simp
next
assume tr-name2: ms-name t ≠ ms-name r
from tr-name tr-name2 have tgr: ms-name t > ms-name r by simp
from t r tgr have tttr: tt||rr = ((t#ts)||rs) by simp
let ?m = sig-list-size (t#ts) + sig-list-size rs
from n t r have mn: ?m < n by auto
from mn IH have tsrs: (t#ts) ≈ (t#ts)||rs by blast
with t tttr show tt ≈ tt||rr by simp
qed
qed
qed
qed
qed

lemma consistent-restrict: τ ~ τ|(_::ty)
using consistent-restrict-impl by blast

lemma consistent-implies-intersect-eq:
(σ ~ τ → σ|τ = τ|σ) ∧ (s ≈ t → s↓t = t↓s) ∧ (ss ≈ tt → ss||tt = tt||ss)
apply (induct rule: consistent-consistent-sig-consistent-sigs.induct)
apply force
apply force
apply simp apply (case-tac τ) apply simp+
apply (case-tac τ) apply simp apply simp apply simp apply simp apply simp
apply simp
apply simp
apply simp
apply simp
apply (erule con-sig-inv) apply clarify
apply (erule con-sigs-inv)
apply (simp add: ms-name-def)
apply (simp add: ms-name-def)
done

lemma intersect-eq-implies-consistent:
(∀ σ τ. n = size σ + size τ ∧ σ|τ = τ|σ → σ ~ τ)
∧ (∀ s t. n = size s + size t ∧ s↓t = t↓s → s ≈ t)
∧ (∀ ss tt. n = sig-list-size ss + sig-list-size tt ∧ ss||tt = tt||ss → ss ≈ tt)
(is ?P n)
proof (induct rule: nat-less-induct)
fix n
assume IH: ∀ m < n. ?P m
show ?P n
apply (rule conjI) apply (rule allI)+ apply (rule impI) apply (erule conjE)
defer
apply (rule conjI) apply (rule allI)+ apply (rule impI) apply (erule conjE)
defer
apply (rule allI)+ apply (rule impI) apply (erule conjE) defer

```

```

proof -
fix  $\sigma::ty$  and  $\tau::ty$  assume  $n: n = \text{size } \sigma + \text{size } \tau$  and  $\text{stts}: \sigma|\tau = \tau|\sigma$ 
from  $\text{stts}$  show  $\sigma \sim \tau$ 
  apply (cases  $\sigma$ )
  apply (cases  $\tau$ ) apply force apply force apply force apply force apply force apply force
    apply force
  apply (cases  $\tau$ ) apply force apply force apply force apply force apply force apply force
    apply force
  apply (cases  $\tau$ ) apply force apply force apply force apply force apply force apply force
    apply force
  apply (cases  $\tau$ ) apply force apply force apply force apply force apply force apply force
    apply force
  apply (cases  $\tau$ ) apply force apply force apply force defer apply force apply force
    apply force
  apply (cases  $\tau$ ) apply force apply force apply force defer apply force apply force
    apply force
proof -
fix  $s1 s2 t1 t2$  assume  $s: \sigma = s1 \rightarrow s2$  and  $t: \tau = t1 \rightarrow t2$ 
let  $?m1 = \text{size } s1 + \text{size } t1$ 
from  $n s t$  have  $mn1: ?m1 < n$  by auto
from  $\text{stts } s t$  have  $\text{stts1: } s1|t1 = t1|s1$  by auto
from  $\text{stts } s t$  have  $\text{stts2: } s2|t2 = t2|s2$  by auto
from  $\text{stts1 } mn1 \text{ IH}$  have  $s1t1: s1 \sim t1$  by blast
let  $?m2 = \text{size } s2 + \text{size } t2$ 
from  $n s t$  have  $mn2: ?m2 < n$  by auto
from  $\text{stts2 } mn2 \text{ IH}$  have  $s2t2: s2 \sim t2$  by blast
from  $s1t1 s2t2 s t$  show  $?thesis$  by auto
next
fix  $ss tt$  assume  $s: \sigma = ObjT ss$  and  $t: \tau = ObjT tt$ 
let  $?m = \text{sig-list-size } ss + \text{sig-list-size } tt$ 
from  $s t n$  have  $mn: ?m < n$  by auto
from  $\text{stts } s t$  have  $\text{ssttss: } ss\|tt = tt\|ss$  by simp
from  $\text{ssttss } mn \text{ IH}$  have  $ttrr: ss \approx tt$  by blast
with  $s t$  show  $?thesis$  by auto
qed
next
fix  $s::sig$  and  $t::sig$ 
assume  $n: n = \text{size } s + \text{size } t$  and  $\text{stts: } s\downarrow t = t\downarrow s$ 
obtain  $l \sigma$  where  $s: s = \text{Sig } l \sigma$  apply (cases  $s$ ) by auto
obtain  $l' \tau$  where  $t: t = \text{Sig } l' \tau$  apply (cases  $t$ ) by auto
let  $?m = \text{size } \sigma + \text{size } \tau$ 
from  $s t n$  have  $mn: ?m < n$  by auto
from  $\text{stts } s t$  have  $ll: l = l'$ 
  apply (case-tac  $l = l'$ ) apply auto done
from  $ll \text{ stts } s t$  have  $\text{stts2: } \sigma|\tau = \tau|\sigma$  by simp
from  $\text{stts2 } mn \text{ IH}$  have  $st: \sigma \sim \tau$  by auto
with  $ll s t$  show  $s \cong t$  by auto
next
fix  $ss tt$  assume  $n: n = \text{sig-list-size } ss + \text{sig-list-size } tt$ 
  and  $\text{ssttss: } ss\|tt = tt\|ss$ 
from  $\text{ssttss}$  show  $ss \approx tt$ 

```

```

apply (cases ss)
  apply (cases tt) apply force apply force
  apply (cases tt) apply force
proof -
  fix s ls t ts assume s: ss = s#ls and t: tt = t#ts
  show ?thesis
  proof (cases ms-name s < ms-name t)
    assume stn: ms-name s < ms-name t
    have ss ≈ ss||tt using consistent-restrict-impl by blast
    with s t have s ≡ hd(ss||tt)
      apply clarify apply (erule con-sigs-inv) by auto
    with cons-sig-name have shn: ms-name s = ms-name(hd(ss||tt)) by simp
    have tt ≈ tt||ss using consistent-restrict-impl by blast
    with s t have t ≡ hd(tt||ss)
      apply clarify apply (erule con-sigs-inv) by auto
    with ssttss have t ≡ hd(ss||tt) by simp
    with cons-sig-name have thn: ms-name t = ms-name(hd(ss||tt)) by simp
    from shn thn stn have False by simp
    thus ?thesis by simp
  next
    assume sget: ¬ (ms-name s < ms-name t)
    show ?thesis
    proof (cases ms-name s = ms-name t)
      assume ste: ms-name s = ms-name t
      from s t ste have sstt: ss||tt = (s↓t) #(ls||ts) by simp
      from s t ste have ttss: tt||ss = (t↓s) #(ts||ls) by simp
      from ssttss sstt ttss have sts: s↓t = t↓s by simp
      from ssttss sstt ttss have lsts: ls||ts = ts||ls by simp

      let ?m = sig-list-size ls + sig-list-size ts
      from n s t have mn: ?m < n by auto
      from lsts mn IH have lsts: ls ≈ ts by blast

      let ?m2 = size s + size t
      from n s t have mn2: ?m2 < n by auto
      from sts mn2 IH have st: s ≡ t by blast
      from st lsts have s#ls ≈ t#ts by (rule CConstT)
      with s t show ?thesis by simp
    next
      assume stne: ms-name s ≠ ms-name t
      have ss ≈ ss||tt using consistent-restrict-impl by blast
      with s t have s ≡ hd(ss||tt)
        apply clarify apply (erule con-sigs-inv) by auto
      with cons-sig-name have shn: ms-name s = ms-name(hd(ss||tt)) by simp
      have tt ≈ tt||ss using consistent-restrict-impl by blast
      with s t have t ≡ hd(tt||ss)
        apply clarify apply (erule con-sigs-inv) by auto
      with ssttss have t ≡ hd(ss||tt) by simp
      with cons-sig-name have thn: ms-name t = ms-name(hd(ss||tt)) by simp
      from shn thn stne have False by simp

```

```

thus ?thesis by simp
qed
qed
qed
qed
qed
qed

lemma intersect-eq-implies-consistent-ty:
   $\sigma|\tau = \tau|\sigma \implies \sigma \sim \tau$ 
  using intersect-eq-implies-consistent by blast

lemma consistent-iff-intersect-eq:
   $(\sigma \sim \tau) = (\sigma|\tau = \tau|(\sigma::ty))$ 
  using consistent-implies-intersect-eq
    intersect-eq-implies-consistent-ty
  by blast

```

4.2 Type Merging

Like the type restriction operator, it is difficult to express type merging as a function in Isabelle, and we instead just define it using axioms.

```

consts
  merge :: ty  $\Rightarrow$  ty  $\Rightarrow$  ty (infixl  $\leftarrow$  52)
  merge-sig :: sig  $\Rightarrow$  sig  $\Rightarrow$  sig (infixl  $\leftarrow:$  52)
  merge-sigs :: sig list  $\Rightarrow$  sig list  $\Rightarrow$  sig list (infixl  $\leftarrow::$  52)

lemma mbool[simp]:  $BoolT \leftarrow \tau = (\text{if } \tau = ? \text{ then } ? \text{ else } BoolT)$  sorry
lemma mint[simp]:  $IntT \leftarrow \tau = (\text{if } \tau = ? \text{ then } ? \text{ else } IntT)$  sorry
lemma mfloat[simp]:  $FloatT \leftarrow \tau = (\text{if } \tau = ? \text{ then } ? \text{ else } FloatT)$  sorry
lemma mfun[simp]:
   $(\sigma_1 \rightarrow \sigma_2) \leftarrow \tau =$ 
   $(\text{case } \tau \text{ of } IntT \Rightarrow (\sigma_1 \rightarrow \sigma_2) \mid FloatT \Rightarrow (\sigma_1 \rightarrow \sigma_2) \mid BoolT \Rightarrow (\sigma_1 \rightarrow \sigma_2)$ 
   $\mid t_1 \rightarrow t_2 \Rightarrow (\sigma_1 \leftarrow t_1) \rightarrow (\sigma_2 \leftarrow t_2)$ 
   $\mid ObjT tt \Rightarrow (\sigma_1 \rightarrow \sigma_2)$ 
   $\mid ? \Rightarrow ?)$  sorry
lemma mobj[simp]:
   $(ObjT ss) \leftarrow \tau =$ 
   $(\text{case } \tau \text{ of } IntT \Rightarrow (ObjT ss) \mid FloatT \Rightarrow (ObjT ss) \mid BoolT \Rightarrow (ObjT ss)$ 
   $\mid \tau_1 \rightarrow \tau_2 \Rightarrow (ObjT ss)$ 
   $\mid ObjT tt \Rightarrow ObjT (ss \leftarrow:: tt)$ 
   $\mid ? \Rightarrow ?)$  sorry
lemma munk[simp]:  $? \leftarrow \tau = \tau$  sorry

lemma msig[simp]:
   $(Sig l \sigma) \leftarrow: (Sig l' \tau) = (\text{if } l = l' \text{ then } Sig l (\sigma \leftarrow \tau) \text{ else } Sig l \sigma)$  sorry

lemma mnil1[simp]:  $[] \leftarrow:: tt = []$  sorry
lemma mnil2[simp]:  $ss \leftarrow:: [] = ss$  sorry
lemma mcons1[simp]:  $ms\text{-name } s < ms\text{-name } t \implies (s \# ss) \leftarrow:: (t \# tt) = s \# (ss \leftarrow:: t \# tt)$ 

```

```

( $t \# tt$ ) sorry
lemma mcons2[simp]: ms-name  $s = ms\text{-name } t \implies (s \# ss) \leftarrow:: (t \# tt) = (s \leftarrow:: t) \# (ss \leftarrow:: tt)$  sorry
lemma mcons3[simp]: ms-name  $s > ms\text{-name } t \implies (s \# ss) \leftarrow:: (t \# tt) = ((s \# ss) \leftarrow:: tt)$  sorry

lemma consistent-merge-impl:
 $(\forall \varrho \tau. n = size \varrho + size \tau \implies \varrho \sim (\varrho \leftarrow \tau))$ 
 $\wedge (\forall r t. n = size r + size t \implies r \cong (r \leftarrow:: t))$ 
 $\wedge (\forall rr tt. n = sig\text{-list-size } rr + sig\text{-list-size } tt \implies rr \approx (rr \leftarrow:: tt))$  (is ?P n)
apply (induct rule: nat-less-induct)
apply (rule conjI)
  apply clarify
  apply (case-tac  $\varrho$ )
  apply force
  apply force
  apply force
  apply (case-tac  $\tau$ ) apply force apply force apply force defer apply force apply force
  apply (case-tac  $\tau$ ) apply force apply force apply force apply force force apply force
  apply force defe
  apply (rule-tac  $x = size ty_1 + size ty_1 a$  in allE, assumption)
    apply simp apply (erule conjE) apply (erule-tac  $x = ty_1$  in allE)
    apply (erule-tac  $x = ty_1 a$  in allE)
    apply (erule-tac  $x = size ty_2 + size ty_2 a$  in allE)
    apply simp apply (erule conjE) apply (erule conjE)
    apply (erule-tac  $x = ty_2$  in allE)
    apply (erule-tac  $x = ty_2 a$  in allE)
    apply force
  apply (erule-tac  $x = sig\text{-list-size } list + sig\text{-list-size } lista$  in allE)
    apply simp apply (erule conjE)+
    apply (erule-tac  $x = list$  in allE)
    apply (erule-tac  $x = lista$  in allE)
    apply force
  apply (rule conjI)
    apply clarify
    apply (case-tac  $r$ ) apply (case-tac  $t$ )
    apply (erule-tac  $x = size ty + size ty a$  in allE)
      apply simp apply (erule conjE)+
      apply (erule-tac  $x = ty$  in allE)
      apply (erule-tac  $x = ty a$  in allE)
      apply force
  apply clarify
    apply (case-tac  $rr$ ) apply force
    apply (case-tac  $tt$ ) apply simp using consistent-reflexive apply simp
    apply (case-tac  $ms\text{-name } a < ms\text{-name } aa$ )
      apply (erule-tac  $x = sig\text{-list-size } list + sig\text{-list-size } (aa \# lista)$  in allE)
      apply simp apply (erule conjE)+

```

```

apply (erule-tac x=list in allE)
apply (erule-tac x=aa#lista in allE)
apply simp apply (rule CConst) using consistent-reflexive apply simp
apply assumption
apply (case-tac ms-name a = ms-name aa)
  apply (rule-tac x=sig-list-size list + sig-list-size lista in allE,assumption)
    apply simp apply (erule conjE)+
    apply (erule-tac x=list in allE)
    apply (erule-tac x=lista in allE)
    apply (erule-tac x=size a + size aa in allE)
    apply simp apply (erule conjE)+
    apply (erule-tac x=a in allE)
    apply (erule-tac x=a in allE)
    apply (erule-tac x=aa in allE)
    apply (erule-tac x=aa in allE)
    apply simp apply (rule CConst) apply assumption apply assumption
  apply (erule-tac x=sig-list-size (a#list) + sig-list-size lista in allE)
    apply auto
done

lemma consistent-merge:
   $\varrho \sim (\varrho \leftarrow \tau)$ 
  using consistent-merge-impl by simp

```

4.3 Subtyping

```

consts
  subtype :: (ty × ty) set
  subtype-sig :: (sig × sig) set
  subtype-sigs :: (sig list × sig list) set
syntax
  subtype :: ty ⇒ ty ⇒ bool (infixl <: 51)
  subtype-sig :: sig ⇒ sig ⇒ bool (infixl  $\preceq$  51)
  subtype-sigs :: sig list ⇒ sig list ⇒ bool (infixl <:: 51)
translations
   $\sigma <: \tau == (\sigma, \tau) \in \text{subtype}$ 
   $\sigma \preceq \tau == (\sigma, \tau) \in \text{subtype-sig}$ 
   $\sigma <:: \tau == (\sigma, \tau) \in \text{subtype-sigs}$ 
inductive subtype subtype-sig subtype-sigs intros
  SIntInt[intro!]: IntT <: IntT
  SBoolBool[intro!]: BoolT <: BoolT
  SFF[intro!]: FloatT <: FloatT
  SIntFloat[intro!]: IntT <: FloatT
  SFun[intro!]:  $\llbracket \tau <: \sigma; \sigma' <: \tau' \rrbracket \implies (\sigma \rightarrow \sigma') <: (\tau \rightarrow \tau')$ 
  SUU[intro!]: ? <: ?
  SObj[intro!]: ss <:: tt  $\implies$  ObjT ss <: ObjT tt
  SSig[intro!]:  $\llbracket l = l'; \tau = \tau' \rrbracket \implies \text{Sig } l \tau \preceq \text{Sig } l' \tau'$ 
  SNil[intro!]: ss <:: []

```

```

SCons1[intro!]: [ s ⊑ t; ss <:: tt ] ==> (s#ss) <:: (t#tt)
SCons2[intro!]: [ ms-name s < ms-name t; ss <:: t#tt ] ==> (s#ss) <:: (t#tt)

inductive-cases sub-fun-inv[elim!]: s → s' <: t → t'
inductive-cases sub-obj-inv[elim!]: ObjT ss <: ObjT tt
inductive-cases sub-sig-inv[elim!]: Sig l s ⊑ Sig l' t
inductive-cases sub-sig-right-inv[elim!]: s ⊑ Sig l t
inductive-cases sub-sig-left-inv[elim!]: Sig l s ⊑ t
inductive-cases sub-sigs-inv: ss <:: tt

theorem subtype-reflexive[simp]: σ <: σ ∧ s ⊑ s ∧ ss <:: ss
  apply (induct rule: ty-sig.induct)
  apply force apply force apply force apply force apply force
  apply force apply force apply (rule SCons1) apply auto done

lemma sub-sigs-reflexive:
  ms <:: ms
  using subtype-reflexive by simp

lemma subtype-trans[trans]:
  [ ρ <: σ; σ <: τ ] ==> ρ <: τ sorry

lemma subtype-sig-trans[trans]:
  [ ρ ⊑ σ; σ ⊑ τ ] ==> ρ ⊑ τ
  apply (case-tac ρ) apply (case-tac σ) apply (case-tac τ) by auto

lemma sub-sigs-trans[trans]:
  assumes m12: ms1 <:: ms2
  and m23: ms2 <:: ms3
  shows ms1 <:: ms3
  sorry

lemma sub-obj-right-inv:
  σ <: ObjT tt ==> ∃ ss. σ = ObjT ss ∧ ss <:: tt
  apply (cases rule: subtype.cases) by auto

lemma sub-fun-right-inv:
  σ <: σ' → τ' ==> ∃ s1 s2. σ = s1 → s2 ∧ σ' <: s1 ∧ s2 <: τ'
  apply (cases rule: subtype.cases) by auto

lemma merge-sub-sig: (r ←: t) ⊑ t ==> (r ←: t) = t
  apply (case-tac t) apply simp
  apply (erule sub-sig-right-inv) by auto

lemma sub-merge-sig: t ⊑ (r ←: t) ==> t = (r ←: t)
  apply (case-tac t) apply simp
  apply (erule sub-sig-left-inv) by auto

```

```

lemma restrict-sub-merge-impl:
  ( $\forall \varrho \tau. n = \text{size } \varrho + \text{size } \tau \longrightarrow (\varrho|\tau <: \tau|\varrho \longrightarrow (\varrho \leftarrow \tau) <: \tau) \wedge (\tau|\varrho <: \varrho|\tau \longrightarrow \tau <: (\varrho \leftarrow \tau))$ )
   $\wedge (\forall r t. n = \text{size } r + \text{size } t \longrightarrow (r \downarrow t \preceq t \downarrow r \longrightarrow (r \leftarrow t) \preceq t) \wedge (t \downarrow r \preceq r \downarrow t \longrightarrow t \preceq (r \leftarrow t)))$ 
   $\wedge (\forall rr tt. n = \text{sig-list-size } rr + \text{sig-list-size } tt \longrightarrow$ 
   $(rr\|tt <: tt\|rr \longrightarrow (rr \leftarrow tt) <: tt) \wedge (tt\|rr <: rr\|tt \longrightarrow tt <: (rr \leftarrow tt)))$ 
  (is ?P n)
proof (induct rule: nat-less-induct)
fix n
assume IH:  $\forall m < n. ?P m$ 
show ?P n
apply (rule conjI) apply (rule allI)+ apply (rule impI) apply (rule conjI) defer
defer
apply (rule conjI) apply (rule allI)+ apply (rule impI) apply (rule conjI) defer
defer
apply (rule allI)+ apply (rule impI) apply (rule conjI) defer defer
proof -
fix  $\varrho:ty$  and  $\tau:ty$  assume  $n: n = \text{size } \varrho + \text{size } \tau$ 
show  $\varrho|\tau <: \tau|\varrho \longrightarrow (\varrho \leftarrow \tau) <: \tau$ 
apply clarify
apply (case-tac  $\varrho:ty$ )
apply (case-tac  $\tau:ty$ ) apply simp apply simp apply simp apply simp apply
simp apply simp
apply (case-tac  $\tau:ty$ ) apply simp apply simp apply simp apply simp apply
simp apply simp
apply (case-tac  $\tau:ty$ ) apply simp apply simp apply simp apply simp apply
simp apply simp
apply (case-tac  $\tau:ty$ ) apply simp apply simp apply simp defer apply simp
apply simp
apply (case-tac  $\tau:ty$ ) apply simp apply simp apply simp apply simp defer
apply simp
apply simp
proof -
fix  $r1 r2 t1 t2$  assume rttr:  $\varrho|\tau <: \tau|\varrho$  and  $r: \varrho = r1 \rightarrow r2$  and  $t: \tau = t1 \rightarrow t2$ 
from rttr r t have rttr-sub:  $(r1|t1) <: (r2|t2) <: (t1|r1) \rightarrow (t2|r2)$  by simp
from rttr-sub have trrt1:  $(t1|r1) <: (r1|t1)$  by auto
from rttr-sub have rrt2:  $(r2|t2) <: (t2|r2)$  by auto
let ?m1 = size r1 + size t1
from n t r have mn1: ?m1 < n by auto
from trrt1 mn1 IH have trt1:  $t1 <: (r1 \leftarrow t1)$  by blast
let ?m2 = size r2 + size t2
from n r t have mn2: ?m2 < n by auto
from trrt2 mn2 IH have rtt2:  $(r2 \leftarrow t2) <: t2$  by blast
from trt1 rtt2 r t show  $(\varrho \leftarrow \tau) <: \tau$  by auto
next
fix rr tt assume rttr:  $\varrho|\tau <: \tau|\varrho$  and  $r: \varrho = ObjT rr$  and  $t: \tau = ObjT tt$ 
from rttr r t have rttr-sub:  $(rr\|tt) <: (tt\|rr)$  by auto
let ?m = sig-list-size rr + sig-list-size tt

```

```

from n t r have mn: ?m < n by auto
from rttr-sub mn IH have rtt: rr ←:: tt <:: tt by blast
from rtt r t show ρ ← τ <: τ by auto
qed
next
fix ρ::ty and τ::ty assume n: n = size ρ + size τ
show τ|ρ <: ρ|τ → τ <: ρ ← τ
  apply clarify
  apply (case-tac ρ::ty)
  apply (case-tac τ::ty) apply simp apply simp apply simp apply simp apply
simp apply simp
  apply (case-tac τ::ty) apply simp apply simp apply simp apply simp apply
simp apply simp
  apply (case-tac τ::ty) apply simp apply simp apply simp apply simp apply
simp apply simp
  apply (case-tac τ::ty) apply simp apply simp apply simp defer apply simp
apply simp
  apply (case-tac τ::ty) apply simp apply simp apply simp apply simp defer
apply simp
  apply simp
proof -
fix r1 r2 t1 t2 assume rttr: τ|ρ <: ρ|τ and r: ρ = r1 → r2 and t: τ = t1 → t2
from rttr r t have rttr-sub: (t1|r1) → (t2|r2) <: (r1|t1) → (r2|t2) by simp
from rttr-sub have trt1: (r1|t1) <: (t1|r1) by auto
from rttr-sub have trt2: (t2|r2) <: (r2|t2) by auto
let ?m1 = size r1 + size t1
from n t r have mn1: ?m1 < n by auto
from trt1 mn1 IH have trt1: r1 ← t1 <: t1 by blast
let ?m2 = size r2 + size t2
from n r t have mn2: ?m2 < n by auto
from trt2 mn2 IH have trt2: t2 <: r2 ← t2 by blast
from trt1 trt2 r t show τ <: ρ ← τ by auto
next
fix rr tt assume rttr: τ|ρ <: ρ|τ and r: ρ = ObjT rr and t: τ = ObjT tt
from rttr r t have rttr-sub: (rr||rr) <: (rr||tt) by auto
let ?m = sig-list-size rr + sig-list-size tt
from n t r have mn: ?m < n by auto
from rttr-sub mn IH have rtt: tt <: rr ←:: tt by blast
from rtt r t show τ <: ρ ← τ by auto
qed
next
fix r::sig and t::sig assume n = size r + size t show r↓t ⊑ t↓r → r ← t ⊑ t
sorry
next
fix r::sig and t::sig assume n = size r + size t show t↓r ⊑ r↓t → t ⊑ r ← t
sorry
next
fix rr tt assume n = sig-list-size rr + sig-list-size tt
show rr||tt <: tt||rr → rr ←:: tt <: tt sorry
next

```

```

fix rr tt assume n = sig-list-size rr + sig-list-size tt
show tt||rr <:: rr||tt —> tt <:: rr ←:: tt sorry
qed
qed

constdefs subcons :: ty ⇒ ty ⇒ bool (infixl  $\lesssim$  51)
 $\sigma \lesssim \tau \equiv \sigma|\tau <: \tau|\sigma$ 

```

```

lemma restrict-sub-merge:
 $\varrho \lesssim \tau \implies \varrho \leftarrow \tau <: \tau$ 
using restrict-sub-merge-impl subcons-def by simp

```

5 Operational Semantics

```
consts SimpleValues :: expr ⇒ bool
```

```
primrec
```

```

SimpleValues (BVar i) = True
SimpleValues (FVar x) = True
SimpleValues (Const c) = True
SimpleValues ( $\lambda:\sigma.$  e) = True
SimpleValues (App e1 e2) = False
SimpleValues (Cast e s t) = False
SimpleValues (Obj ms  $\tau$ ) = True
SimpleValues (Invoke e l) = False
SimpleValues (Update e m) = False

```

```
consts Values :: expr ⇒ bool
```

```
primrec
```

```

Values (BVar i) = True
Values (FVar x) = True
Values (Const c) = True
Values ( $\lambda:\sigma.$  e) = True
Values (App e1 e2) = False
Values (Cast e s t) = SimpleValues e
Values (Obj ms  $\tau$ ) = True
Values (Invoke e l) = False
Values (Update e m) = False

```

```
consts to-int :: expr ⇒ int option
```

```
primrec
```

```

to-int (BVar x) = None
to-int (FVar x) = None
to-int (Const c) =
(case c of
  IntC n ⇒ Some n
  | FloatC n ⇒ None
  | BoolC b ⇒ None
  | Succ ⇒ None
)

```

```

| IsZero ⇒ None)
to-int (Lam τ e) = None
to-int (App e1 e2) = None
to-int (Cast e s t) = None
to-int (Obj ms τ) = None
to-int (Invoke e l) = None
to-int (Update e m) = None

consts delta :: const ⇒ expr ⇒ expr option (δ)
primrec
  delta (IntC n) e = None
  delta (FloatC n) e = None
  delta (BoolC b) e = None
  delta Succ e =
    (case to-int e of
     None ⇒ None
     | Some n ⇒ Some (Const (IntC (n + 1))))
  delta IsZero e =
    (case to-int e of
     None ⇒ None
     | Some n ⇒ Some (Const (BoolC (n = 0))))

consts lookup :: method list ⇒ nat ⇒ method option
primrec
  lookup [] l = None
  lookup (m#ms) l = (if l = mname m then Some m else lookup ms l)

consts replace :: method list ⇒ method ⇒ method list
primrec
  replace-nil: replace [] m' = []
  replace-cons: replace (m#ms) m' = (if mname m = mname m' then m'#ms else
  m#(replace ms m'))

constdefs mcast :: expr ⇒ ty ⇒ ty ⇒ expr
  mcast e σ τ ≡ if σ = τ then e else Cast e σ τ

consts reduces :: (expr × expr) set
syntax reduces :: expr ⇒ expr ⇒ bool (infixl --> 51)
translations e --> e' == (e,e') ∈ reduces
inductive reduces intros
  Beta: Values v ==> App (λ:τ. e) v --> {0->v}e
  Delta: [Values v; δ c v = Some v'] ==> App (Const c) v --> v'
  Sel: [lookup ms l = Some (Method l e)] ==>
    Invoke (Obj ms τ) l --> App e (Obj ms τ)
  Upd: Update (Obj ms τ) m --> Obj (replace ms m) τ
  ApCst: [SimpleValues v1; Values v2] ==>
    App (v1((σ->τ)⇒(ρ->ν))) v2 --> mcast (App v1 (mcast v2 ρ σ)) τ ν
  SelCst: [Values v; lookup-sig ss l = Some (Sig l σ);
    lookup-sig tt l = Some (Sig l τ)] ==>
    App (v1((σ->τ)⇒(ρ->ν))) v2 --> mcast (App v1 (mcast v2 ρ σ)) τ ν

```

```

 $\implies \text{Invoke } (v \langle \text{ObjT } ss \Rightarrow \text{ObjT } tt \rangle) l \longrightarrow \text{mcast } (\text{Invoke } v l) \sigma \tau$ 
 $\text{UpdCst: } [\![ \text{Values } v; \text{lookup-sig } ss \, l = \text{Some } (\text{Sig } l \sigma);$ 
 $\quad \text{lookup-sig } tt \, l = \text{Some } (\text{Sig } l \tau);$ 
 $\quad m' = \text{Method } l (b \langle (\text{ObjT } tt \rightarrow \tau) \Rightarrow (\text{ObjT } ss \rightarrow \sigma) \rangle)$ 
 $\implies \text{Update } (v \langle \text{ObjT } ss \Rightarrow \text{ObjT } tt \rangle) (\text{Method } l b) \longrightarrow (\text{Update } v m') \langle \text{ObjT } ss$ 
 $\Rightarrow \text{ObjT } tt)$ 
 $\text{Merge: } [\![ \text{SimpleValues } v; \varrho \lesssim \tau; \varrho \neq \tau ]\!]$ 
 $\implies (v \langle \varrho \Rightarrow \sigma \rangle) \langle \sigma' \Rightarrow \tau \rangle \longrightarrow \text{mcast } v \varrho (\varrho \leftarrow \tau)$ 
 $\text{Remove: } [\![ \text{SimpleValues } v; \varrho = \tau ]\!]$ 
 $\implies (v \langle \varrho \Rightarrow \sigma \rangle) \langle \sigma' \Rightarrow \tau \rangle \longrightarrow v$ 

constdefs redex :: expr  $\Rightarrow$  bool
redex r  $\equiv$   $(\exists r'. r \longrightarrow r')$ 

datatype ctx = Hole | AppL ctx expr | AppR expr ctx | InvokeC ctx nat | UpdateC
ctx method
| CastC ctx ty ty ( $\neg \langle \neg \Rightarrow \neg \rangle$  [53,53,53] 52)

consts wf-ctx :: ctx set
inductive wf-ctx intros
WFHole: Hole  $\in$  wf-ctx
WFAppL: E  $\in$  wf-ctx  $\implies$  AppL E e  $\in$  wf-ctx
WFAppR:  $[\![ \text{Values } v; E \in \text{wf-ctx} ]\!] \implies \text{AppR } v E \in \text{wf-ctx}$ 
WFIvoke: E  $\in$  wf-ctx  $\implies$  InvokeC E l  $\in$  wf-ctx
WFUpdate: E  $\in$  wf-ctx  $\implies$  UpdateC E m  $\in$  wf-ctx
WFCastC: E  $\in$  wf-ctx  $\implies$  CastC E σ τ  $\in$  wf-ctx

consts fill :: ctx  $\Rightarrow$  expr  $\Rightarrow$  expr ( $\neg \langle \neg \rangle$  [82,82] 81)
primrec
Hole[e] = e
(AppL E e2)[e] = App (E[e]) e2
(AppR e1 E)[e] = App e1 (E[e])
(InvokeC E l)[e] = Invoke (E[e]) l
(UpdateC E m)[e] = Update (E[e]) m
(CastC E s t)[e] = Cast (E[e]) s t

consts eval-step ::  $(\text{expr} \times \text{expr}) \text{ set}$ 
syntax eval-step :: expr  $\Rightarrow$  expr  $\Rightarrow$  bool (infixl  $\longmapsto$  51)
translations e  $\longmapsto$  e'  $\equiv (e, e') \in \text{eval-step}$ 
inductive eval-step intros
Step:  $[\![ E \in \text{wf-ctx}; r \longrightarrow r' ]\!] \implies E[r] \longmapsto E[r']$ 

```

6 The Gradual Type System

```

lemma lookup-implies-in-dom:
lookup-sig ms l = Some s  $\implies l \in \text{DomT } ms$ 
apply (induct ms) apply force apply force apply force apply force apply force apply force
apply force apply force apply force apply (case-tac sig) apply simp
apply (case-tac l = nat) apply (simp add: ms-name-def) apply force

```

```

apply (simp add: ms-name-def) done

consts
  FV :: expr ⇒ nat set
  FVm :: method ⇒ nat set
  FVs :: method list ⇒ nat set
primrec
  FV (BVar i) = {}
  FV (FVar x) = {x}
  FV (Const c) = {}
  FV (λ:σ. e) = FV e
  FV (App e1 e2) = FV e1 ∪ FV e2
  FV (Obj ms τ) = FVs ms
  FV (Invoke e l) = FV e
  FV (Update e m) = FV e ∪ FVm m
  FV (Cast e s t) = FV e
  FVm (Method l e) = FV e
  FVs [] = {}
  FVs (m#ms) = FVm m ∪ FVs ms

lemma finite-FV-impl: finite (FV e) ∧ finite (FVm m) ∧ finite (FVs ms)
  apply (induct rule: expr-method.induct) by auto

lemma finite-FV: finite (FV e)
  using finite-FV-impl by simp

types env = nat ⇒ ty option

constdefs remove-bind :: env ⇒ nat ⇒ env ⇒ bool (- - - ⊂ - [50,50,50] 49)
  Γ - z ⊂ Γ' ≡ ∀ x τ. x ≠ z ∧ Γ x = Some τ → Γ' x = Some τ

constdefs finite-env :: env ⇒ bool
  finite-env Γ ≡ finite (dom Γ)

consts TypeOf :: const ⇒ ty
primrec
  TypeOf (IntC n) = IntT
  TypeOf (FloatC n) = FloatT
  TypeOf (BoolC b) = BoolT
  TypeOf Succ = IntT → IntT
  TypeOf IsZero = IntT → BoolT

consts
  gt :: (env × expr × ty) set
  gtm :: (env × method × sig × ty) set
  gtms :: (env × method list × sig list × ty) set
syntax
  gt :: env ⇒ expr ⇒ ty ⇒ bool (- ⊢_G - : - [52,52,52] 51)

```

$gtm :: env \Rightarrow method \Rightarrow sig \Rightarrow ty \Rightarrow bool$ (- $\vdash_G - : - [52,52,52,52] 51$)
 $gtms :: env \Rightarrow method list \Rightarrow sig \Rightarrow ty \Rightarrow bool$ (- $\vdash_G - :: - [52,52,52,52] 51$)
translations
 $\Gamma \vdash_G e : \tau == (\Gamma, e, \tau) \in gtm$
 $\Gamma \vdash_G m : \tau in ot == (\Gamma, m, \tau, ot) \in gtm$
 $\Gamma \vdash_G ms :: \tau in ot == (\Gamma, ms, \tau, ot) \in gtms$
inductive $gt gtm gtms$ **intros**
 $GVar[intro!]: \Gamma x = just \tau \implies \Gamma \vdash_G (FVar x) : \tau$
 $GConst[intro!]: \Gamma \vdash_G Const c : TypeOf c$
 $GLam[intro!]:$
 $\llbracket finite L; \forall x. x \notin L \longrightarrow \Gamma(x \mapsto \sigma) \vdash_G \{0 \rightarrow FVar x\}e : \tau \wedge x \notin dom \Gamma \rrbracket$
 $\implies \Gamma \vdash_G (\lambda : \sigma. e) : \sigma \rightarrow \tau$
 $GApp1[intro!]: \llbracket \Gamma \vdash_G e_1 : ?; \Gamma \vdash_G e_2 : \tau_2 \rrbracket$
 $\implies \Gamma \vdash_G (App e_1 e_2) : ?$
 $GApp2[intro!]: \llbracket \Gamma \vdash_G e_1 : (\tau \rightarrow \tau'); \Gamma \vdash_G e_2 : \tau_2; \tau_2 \lesssim \tau \rrbracket$
 $\implies \Gamma \vdash_G (App e_1 e_2) : \tau'$
 $GCast[intro!]: \llbracket \Gamma \vdash_G e : \sigma; \sigma \lesssim \tau \rrbracket$
 $\implies \Gamma \vdash_G Cast e \sigma \tau : \tau$
 $GSel1: \llbracket \Gamma \vdash_G e : ? \rrbracket \implies \Gamma \vdash_G Invoke e l : ?$
 $GSel2: \llbracket \Gamma \vdash_G e : ObjT ss; lookup-sig ss l = just (Sig l \tau) \rrbracket$
 $\implies \Gamma \vdash_G Invoke e l : \tau$
 $GUpd1: \llbracket \Gamma \vdash_G e : ?; \Gamma \vdash_G m : s in \tau \rrbracket$
 $\implies \Gamma \vdash_G Update e m : ObjT [s]$
 $GUpd2: \llbracket \Gamma \vdash_G e : ObjT ss;$
 $\Gamma \vdash_G m : (Sig l \sigma) in ObjT ss;$
 $lookup-sig ss l = just (Sig l \tau); \sigma \lesssim \tau \rrbracket$
 $\implies \Gamma \vdash_G Update e m : ObjT ss$
 $GOBJ: \Gamma \vdash_G ms :: ss in ObjT ss$
 $\implies \Gamma \vdash_G Obj ms (ObjT ss) : ObjT ss$
 $GMtd: \llbracket \Gamma \vdash_G e : \sigma \rightarrow \tau; ObjT ss \lesssim \sigma;$
 $lookup-sig ss l = just (Sig l \tau) \rrbracket$
 $\implies \Gamma \vdash_G Method l e : (Sig l \tau) in ObjT ss$
 $GNil: \Gamma \vdash_G [] :: [] in \tau$
 $GCons: \llbracket \Gamma \vdash_G m : s in \tau; \Gamma \vdash_G ms :: ss in \tau \rrbracket$
 $\implies \Gamma \vdash_G (m \# ms) :: (s \# ss) in \tau$

7 Translation to Intermediate Language

consts

$compile :: (env \times expr \times expr \times ty) set$
 $cm :: (env \times method \times method \times sig \times ty) set$
 $cms :: (env \times method list \times method list \times sig list \times ty) set$

syntax

$compile :: env \Rightarrow expr \Rightarrow expr \Rightarrow ty \Rightarrow bool$ (- $\vdash - \Rightarrow - : - [52,52,52,52] 51$)
 $cm :: env \Rightarrow method \Rightarrow method \Rightarrow sig \Rightarrow ty \Rightarrow bool$ (- $\vdash - \Rightarrow - : - in - [52,52,52,52,52]$)

51)

$cms :: env \Rightarrow method\ list \Rightarrow method\ list \Rightarrow sig \Rightarrow ty \Rightarrow bool$ (- $\vdash - \Rightarrow - :: -$ in - [52,52,52,52] 51)

translations

$\Gamma \vdash e \Rightarrow e' : \tau == (\Gamma, e, e', \tau) \in compile$
 $\Gamma \vdash m \Rightarrow m' : s \text{ in } \tau == (\Gamma, m, m', s, \tau) \in cm$
 $\Gamma \vdash ms \Rightarrow ms' :: ss \text{ in } \tau == (\Gamma, ms, ms', ss, \tau) \in cms$

inductive *compile* *cm* *cms* **intros**

CVar[intro!]: $\Gamma x = just \tau \Rightarrow \Gamma \vdash FVar\ x \Rightarrow FVar\ x : \tau$
CCConst[intro!]: $\Gamma \vdash Const\ c \Rightarrow Const\ c : TypeOf\ c$
CLam[intro!]: $\llbracket finite\ L; \forall x. x \notin L \longrightarrow \Gamma(x \mapsto \sigma) \vdash \{0 \rightarrow FVar\ x\}e \Rightarrow \{0 \rightarrow FVar\ e'\} : \tau \wedge x \notin \text{dom } \Gamma \rrbracket \Rightarrow \Gamma \vdash (\lambda : \sigma. e) \Rightarrow (\lambda : \sigma. e') : (\sigma \rightarrow \tau)$
CApp1[intro!]: $\llbracket \Gamma \vdash e_1 \Rightarrow e'_1 : ?; \Gamma \vdash e_2 \Rightarrow e'_2 : \tau_2 \rrbracket \Rightarrow \Gamma \vdash (App\ e_1\ e_2) \Rightarrow (App\ (mcast\ e'_1\ ?\ (\tau_2 \rightarrow ?))\ e'_2) : ?$
CApp2[intro!]: $\llbracket \Gamma \vdash e_1 \Rightarrow e'_1 : (\tau \rightarrow \tau') ; \Gamma \vdash e_2 \Rightarrow e'_2 : \tau_2; \tau_2 \lesssim \tau \rrbracket \Rightarrow \Gamma \vdash (App\ e_1\ e_2) \Rightarrow (App\ e'_1\ (mcast\ e'_2\ \tau_2\ (\tau_2 \leftarrow \tau))) : \tau'$
CCast[intro!]: $\llbracket \Gamma \vdash e \Rightarrow e' : \sigma; \sigma \lesssim \tau \rrbracket \Rightarrow \Gamma \vdash Cast\ e\ \sigma\ \tau \Rightarrow mcast\ e'\ \sigma\ (\sigma \leftarrow \tau) : \tau$
CSel1: $\llbracket \Gamma \vdash e \Rightarrow e' : ? \rrbracket \Rightarrow \Gamma \vdash Invoke\ e\ l \Rightarrow Invoke\ (mcast\ e'\ ?\ (ObjT\ [Sig\ l\ ?]))\ l : ?$
CSel2: $\llbracket \Gamma \vdash e \Rightarrow e' : ObjT\ ss; lookup-sig\ ss\ l = just\ (Sig\ l\ \tau) \rrbracket \Rightarrow \Gamma \vdash Invoke\ e\ l \Rightarrow Invoke\ e'\ l : \tau$
CUpd1: $\llbracket \Gamma \vdash e \Rightarrow e' : ?; \Gamma \vdash m \Rightarrow m' : s \text{ in } ObjT\ [s] \rrbracket \Rightarrow \Gamma \vdash Update\ e\ m \Rightarrow Update\ (mcast\ e'\ ?\ (ObjT\ [s]))\ m' : ObjT\ [s]$
CUpd2: $\llbracket \Gamma \vdash e \Rightarrow e' : ObjT\ ss; \Gamma \vdash m \Rightarrow (Method\ l\ b) : (Sig\ l\ \sigma) \text{ in } ObjT\ ss; lookup-sig\ ss\ l = just\ (Sig\ l\ \tau); \sigma \lesssim \tau \rrbracket \Rightarrow \Gamma \vdash Update\ e\ m \Rightarrow Update\ e'\ (Method\ l\ (mcast\ b\ (ObjT\ ss \rightarrow \sigma))\ (ObjT\ ss \rightarrow (\sigma \leftarrow \tau))) : ObjT\ ss$
CObj: $\Gamma \vdash ms \Rightarrow ms' :: ss \text{ in } ObjT\ ss \Rightarrow \Gamma \vdash Obj\ ms\ (ObjT\ ss) \Rightarrow Obj\ ms'\ (ObjT\ ss) : ObjT\ ss$
CMtd: $\llbracket \Gamma \vdash e \Rightarrow e' : \sigma \rightarrow \tau; ObjT\ ss \lesssim \sigma; lookup-sig\ ss\ l = just\ (Sig\ l\ \tau) \rrbracket \Rightarrow \Gamma \vdash Method\ l\ e \Rightarrow Method\ l\ (mcast\ e'\ (\sigma \rightarrow \tau)\ ((\sigma \leftarrow ObjT\ ss) \rightarrow \tau)) : (Sig\ l\ \tau) \text{ in } ObjT\ ss$

CNil: $\Gamma \vdash [] \Rightarrow [] :: [] \text{ in } \tau$
CCons: $\llbracket \Gamma \vdash m \Rightarrow m' : s \text{ in } \tau; \Gamma \vdash ms \Rightarrow ms' :: ss \text{ in } \tau \rrbracket \Rightarrow \Gamma \vdash (m \# ms) \Rightarrow (m' \# ms') :: (s \# ss) \text{ in } \tau$

7.1 Type System for Intermediate Language

consts

$wte :: (env \times expr \times ty) \text{ set}$
 $wtm :: (env \times method \times sig \times ty) \text{ set}$
 $wtms :: (env \times method\ list \times sig\ list \times ty) \text{ set}$

syntax

$wte :: env \Rightarrow [expr, ty] \Rightarrow bool$ (- $\vdash - : -$ [52,52,52] 51)

```

 $wtm :: env \Rightarrow [method,sig,ty] \Rightarrow bool (- \vdash - : - in - [52,52,52,52] 51)$ 
 $wtms :: env \Rightarrow [method list,sig list,ty] \Rightarrow bool (- \vdash - :: - in - [52,52,52,52] 51)$ 
translations
 $\Gamma \vdash e : \tau \Rightarrow (\Gamma, e, \tau) \in wte$ 
 $\Gamma \vdash m : \sigma in \tau \Rightarrow (\Gamma, m, \sigma, \tau) \in wtm$ 
 $\Gamma \vdash ms :: ss in \tau \Rightarrow (\Gamma, ms, ss, \tau) \in wtms$ 
inductive wte wtm wtms intros
 $wte-var: \Gamma x = just \tau \Rightarrow \Gamma \vdash FVar x : \tau$ 
 $wte-const: \Gamma \vdash Const c : TypeOf c$ 
 $wte-abs:$ 
 $\llbracket \text{finite } L; \forall x. x \notin L \longrightarrow \Gamma(x \mapsto \sigma) \vdash \{0 \rightarrow FVar x\} e : \tau \wedge x \notin \text{dom } \Gamma \rrbracket$ 
 $\Rightarrow \Gamma \vdash (\lambda : \sigma. e) : \sigma \rightarrow \tau$ 
 $wte-app: \llbracket \Gamma \vdash e_1 : \sigma \rightarrow \tau; \Gamma \vdash e_2 : \sigma \rrbracket$ 
 $\Rightarrow \Gamma \vdash App e_1 e_2 : \tau$ 
 $wte-sub: \llbracket \Gamma \vdash e : \sigma; \sigma <: \tau \rrbracket \Rightarrow \Gamma \vdash e : \tau$ 
 $wte-cast: \llbracket \Gamma \vdash e : \sigma; \sigma \sim \tau; \sigma \neq \tau \rrbracket \Rightarrow \Gamma \vdash e \langle \sigma \Rightarrow \tau \rangle : \tau$ 
 $wte-sel: \llbracket \Gamma \vdash e : ObjT ss; lookup-sig ss l = just (Sig l \tau) \rrbracket$ 
 $\Rightarrow \Gamma \vdash Invoke e l : \tau$ 
 $wte-upd: \llbracket \Gamma \vdash e : ObjT ss;$ 
 $\Gamma \vdash m : s in ObjT ss;$ 
 $lookup-sig ss l = just s \rrbracket$ 
 $\Rightarrow \Gamma \vdash Update e m : ObjT ss$ 
 $wte-obj: \Gamma \vdash ms :: ss in ObjT ss \Rightarrow \Gamma \vdash Obj ms (ObjT ss) : ObjT ss$ 
 $wt-mtd: \llbracket \Gamma \vdash e : (ObjT ss) \rightarrow \tau;$ 
 $lookup-sig ss l = just (Sig l \tau) \rrbracket$ 
 $\Rightarrow \Gamma \vdash Method l e : (Sig l \tau) in ObjT ss$ 
 $wt-nil: \Gamma \vdash [] :: [] in \tau$ 
 $wt-cons: \llbracket \Gamma \vdash m : s in \tau; \Gamma \vdash ms :: ss in \tau \rrbracket$ 
 $\Rightarrow \Gamma \vdash (m \# ms) :: (s \# ss) in \tau$ 
inductive-cases wt-mtd-inv[elim!]:
 $\Gamma \vdash (Method l b) : (Sig l \sigma) in \varrho$ 
lemma restrict-sub-merge2:
 $\tau \lesssim \varrho \Rightarrow \tau <: \varrho^{\leftarrow} \tau$ 
using restrict-sub-merge-impl subcons-def by simp

```

7.2 The Translation is Sound

```

lemma compilation-sound-impl:
 $(\Gamma \vdash e \Rightarrow e' : \tau \longrightarrow \Gamma \vdash e' : \tau)$ 
 $\wedge (\Gamma \vdash m \Rightarrow m' : s in \tau \longrightarrow \Gamma \vdash m' : s in \tau)$ 
 $\wedge (\Gamma \vdash ms \Rightarrow ms' :: ss in \tau \longrightarrow \Gamma \vdash ms' :: ss in \tau)$ 
apply (induct rule: compile-cm-cms.induct)
using wte-var apply simp

```

```

using wte-const apply simp
apply (rule wte-abs) apply simp apply clarify
  apply (erule-tac x=x in allE)
  apply (erule impE) apply simp apply (erule conjE)+
  apply (rule conjI) apply assumption apply assumption
apply (simp add: mcast-def) using wte-app wte-cast apply blast
apply (simp add: mcast-def)
  apply (rule conjI) apply clarify apply (rule wte-app) apply simp
  apply (rule wte-sub) apply simp using restrict-sub-merge apply force
  apply clarify apply (rule wte-app) apply simp apply (rule wte-sub)
  apply (rule wte-cast) apply simp apply (rule consistent-merge) apply simp
  apply (rule restrict-sub-merge) apply simp
apply (simp add: mcast-def) apply (rule conjI) apply clarify
  apply (rule wte-sub) apply simp using restrict-sub-merge apply force
  apply clarify apply (rule wte-sub) apply (rule wte-cast) apply simp
  apply (rule consistent-merge) apply simp
  apply (rule restrict-sub-merge) apply simp
  apply (simp add: mcast-def) apply (rule wte-cast) apply
simp apply force
  apply simp apply (simp add: ms-name-def)
using wte-sel apply simp
  apply (simp add: mcast-def) apply (rule wte-upd) apply (rule wte-cast) apply
simp apply force apply simp
  apply simp apply simp
defer
using wte-obj apply simp
defer
apply (rule wt-nil)
apply (rule wt-cons) apply simp apply simp
proof -
fix  $\Gamma \sigma \tau b e e' l m ss$ 
assume ce:  $\Gamma \vdash e \Rightarrow e' : ObjT ss$  and ep:  $\Gamma \vdash e' : ObjT ss$  and wtm:  $\Gamma \vdash m \Rightarrow (Method l b) : (Sig l \sigma)$  in  $ObjT ss$ 
  and wts:  $\Gamma \vdash (Method l b) : (Sig l \sigma)$  in  $ObjT ss$  and ssl:  $lookup-sig ss l = just (Sig l \tau)$ 
  and st:  $\sigma \lesssim \tau$ 

from st have stt:  $(\sigma \leftarrow \tau) <: \tau$  by (rule restrict-sub-merge)
let ?ct =  $ObjT ss \rightarrow (\sigma \leftarrow \tau)$ 
from stt have sub:  $?ct <: (ObjT ss \rightarrow \tau)$  by auto
have sim:  $(ObjT ss \rightarrow \sigma) \sim ?ct$  using consistent-merge by force
from wts have wtb:  $\Gamma \vdash b : ObjT ss \rightarrow \sigma$  by auto
  from wtb sim have wtc:  $\Gamma \vdash mcast b (ObjT ss \rightarrow \sigma) ?ct : ?ct$  using mcast-def
wte-cast by auto
  from wtc sub have wtc2:  $\Gamma \vdash mcast b (ObjT ss \rightarrow \sigma) ?ct : (ObjT ss \rightarrow \tau)$  by (rule
wte-sub)
  let ?m = Method l (mcast b (ObjT ss → σ) ?ct)
  from wtc2 ssl have wtm:  $\Gamma \vdash ?m : (Sig l \tau)$  in  $ObjT ss$  by (rule wt-mtd)
  from ep wtm ssl show  $\Gamma \vdash Update e' ?m : ObjT ss$  by (rule wte-upd)
next

```

```

fix  $\Gamma \sigma \tau e e' l ss$ 
assume  $\Gamma \vdash e \Rightarrow e' : \sigma \rightarrow \tau$  and  $ep: \Gamma \vdash e' : \sigma \rightarrow \tau$  and  $ss: ObjT ss \lesssim \sigma$ 
and  $ssl: lookup-sig ss l = just (Sig l \tau)$ 
let  $?ct = ((\sigma \leftarrow ObjT ss) \rightarrow \tau)$ 
from  $ss$  have  $sub1: ObjT ss <: \sigma \leftarrow ObjT ss$  by (rule restrict-sub-merge2)
hence  $sub: ?ct <: (ObjT ss \rightarrow \tau)$  using subtype-reflexive by auto
have  $sim: (\sigma \rightarrow \tau) \sim ?ct$  using consistent-merge by blast
from  $ep sim$  have  $wtc: \Gamma \vdash (mcast e' (\sigma \rightarrow \tau) ?ct) : ?ct$  using wte-cast mcast-def
by auto
from  $wtc sub$  have  $wtc2: \Gamma \vdash (mcast e' (\sigma \rightarrow \tau) ?ct) : (ObjT ss \rightarrow \tau)$  by (rule
wte-sub)
from  $wtc2 ssl$  show  $\Gamma \vdash Method l (mcast e' (\sigma \rightarrow \tau) ?ct) : (Sig l \tau)$  in  $ObjT ss$  by
(rule wt-mtd)
qed

```

theorem compilation-sound:
 $\Gamma \vdash e \Rightarrow e' : \tau \implies \Gamma \vdash e' : \tau$
using compilation-sound-impl by blast

7.3 Sound and Complete with Respect to $FOb_{<:}$

```

consts
fob-type :: ty set
fob-sig :: sig set
fob-sigs :: (sig list) set
inductive fob-type fob-sig fob-sigs intros
FObInt[intro!]: IntT ∈ fob-type
FObFloat[intro!]: FloatT ∈ fob-type
FObBool[intro!]: BoolT ∈ fob-type
FObArrow[intro!]: [τ₁ ∈ fob-type; τ₂ ∈ fob-type] ⇒
(τ₁ → τ₂) ∈ fob-type
FObObjT[intro!]: ss ∈ fob-sigs ⇒ ObjT ss ∈ fob-type

```

$FObSig[intro!]: \tau \in fob-type \implies Sig l \tau \in fob-sig$

$FObNilT[intro!]: [] \in fob-sigs$
 $FObConsT[intro!]: [s \in fob-sig; ss \in fob-sigs] \implies s \# ss \in fob-sigs$

```

inductive-cases fob-unk-inv[elim!]: ? ∈ fob-type
inductive-cases fob-fun-inv[elim!]: σ → τ ∈ fob-type
inductive-cases fob-objt-inv[elim!]: ObjT ss ∈ fob-type
inductive-cases fob-sig-inv[elim!]: Sig l τ ∈ fob-sig
inductive-cases fob-sigs-inv[elim!]: ss ∈ fob-sigs
inductive-cases fob-cons-inv[elim!]: s # ss ∈ fob-sigs

```

```

consts
fob-term :: expr set
fob-method :: method set
fob-methods :: method list set
inductive fob-term fob-method fob-methods intros

```

```

FObFVar[intro!]: (FVar x) ∈ fob-term
FObBVar[intro!]: (BVar x) ∈ fob-term
FObConst[intro!]: (Const c) ∈ fob-term
FObLam[intro!]: [ τ ∈ fob-type; e ∈ fob-term ] ==>
    (Lam τ e) ∈ fob-term
FObApp[intro!]: [ e1 ∈ fob-term; e2 ∈ fob-term ] ==>
    (App e1 e2) ∈ fob-term
FObObj[intro!]: [ ms ∈ fob-methods; τ ∈ fob-type ] ==> Obj ms τ ∈ fob-term
FObSel[intro!]: e ∈ fob-term ==> Invoke e l ∈ fob-term
FObUpd[intro!]: [ e ∈ fob-term; m ∈ fob-method ] ==> Update e m ∈ fob-term

FObMtd[intro!]: e ∈ fob-term ==> Method l e ∈ fob-method
FObNil[intro!]: [] ∈ fob-methods
FObCons[intro!]: [ m ∈ fob-method; ms ∈ fob-methods ]
    ==> m#ms ∈ fob-methods

inductive-cases fob-lam-inv[elim!]: λ:τ. e ∈ fob-term
inductive-cases fob-app-inv[elim!]: App e e' ∈ fob-term
inductive-cases fob-obj-inv[elim!]: Obj ms τ ∈ fob-term
inductive-cases fob-cast-inv[elim!]: Cast e s t ∈ fob-term
inductive-cases fob-sel-inv[elim!]: Invoke e l ∈ fob-term
inductive-cases fob-upd-inv[elim!]: Update e m ∈ fob-term
inductive-cases fob-obj-inv[elim!]: Obj ms τ ∈ fob-term
inductive-cases fob-mtd-inv[elim!]: Method l e ∈ fob-method
inductive-cases fob-nil-inv[elim!]: [] ∈ fob-methods
inductive-cases fob-cons-inv[elim!]: m#ms ∈ fob-methods

lemma fob-subst: e ∈ fob-term ==> {i→FVar x}e ∈ fob-term
  sorry

lemma consistent-fob-eq-impl:
  (σ ~ τ —> σ ∈ fob-type ∧ τ ∈ fob-type —> σ = τ)
  ∧ (s ≈ t —> s ∈ fob-sig ∧ t ∈ fob-sig —> s = t)
  ∧ (ss ≈ tt —> ss ∈ fob-sigs ∧ tt ∈ fob-sigs —> ss = tt)
  apply (induct rule: consistent-consistent-sig-consistent-sigs.induct)
  apply force apply force apply force apply force apply force
  apply force apply clarify apply blast done

lemma consistent-fob-eq:
  τ ~ τ' ==> τ ∈ fob-type ∧ τ' ∈ fob-type —> τ = τ'
  using consistent-fob-eq-impl by blast

lemma consistent-fob-noteq:
  σ ~ τ ==> σ ∈ fob-type ∧ σ ≠ τ —> τ ∉ fob-type
  using consistent-fob-eq by blast

lemma restrict-fob-impl:
  (forall τ σ ∈ fob-type ∧ τ ∈ fob-type —> σ|τ = σ ∧ τ|σ = τ)
  ∧ (forall t s ∈ fob-sig ∧ t ∈ fob-sig —> s↓t = s ∧ t↓s = t)
  ∧ (forall tt ss ∈ fob-sigs ∧ tt ∈ fob-sigs —> ss||tt = ss ∧ tt||ss = tt)

```

```

apply (induct rule: ty-sig.induct)
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $\tau$ ) apply force apply force apply force apply force
apply force apply force
apply clarify apply (case-tac  $t$ ) apply simp apply clarify apply simp
apply clarify apply (case-tac  $tt$ ) apply simp apply simp
apply clarify apply (case-tac  $tt$ ) apply simp
apply clarify apply (erule-tac  $x=a$  in allE) apply (erule-tac  $x=lista$  in allE)
apply (erule impE) apply simp apply (erule impE) apply simp
apply clarify sorry

lemma restrict-fob:
 $\llbracket \sigma \in fob\text{-type}; \tau \in fob\text{-type} \rrbracket \implies \sigma | \tau = \sigma$ 
using restrict-fob-impl by blast

lemma subcon-fob-sub:
 $\llbracket \tau \lesssim \tau'; \tau \in fob\text{-type}; \tau' \in fob\text{-type} \rrbracket \implies \tau <: \tau'$ 
using restrict-fob subcons-def by force

lemma lookup-fob:  $\llbracket ss \in fob\text{-sigs}; lookup\text{-sig } ss \ l = just (Sig \ l \ \tau) \rrbracket \implies \tau \in fob\text{-type}$ 
sorry

lemma merge-fob:
 $\llbracket \sigma \in fob\text{-type}; \tau \in fob\text{-type} \rrbracket \implies \sigma \leftarrow \tau = \sigma$  sorry

lemma merge-fob-neq:
 $\llbracket \sigma \in fob\text{-type}; \tau \in fob\text{-type}; \sigma \neq \sigma \leftarrow \tau \rrbracket \implies False$ 
using merge-fob by auto

lemma gradual-soundness-fob-impl:

$$\begin{aligned} & (\Gamma \vdash_G e : \tau \longrightarrow \\ & \quad e \in fob\text{-term} \wedge (\forall x \ \tau. \Gamma x = just \ \tau \longrightarrow \tau \in fob\text{-type}) \longrightarrow \\ & \quad \Gamma \vdash e : \tau \wedge \tau \in fob\text{-type}) \\ & \wedge (\Gamma \vdash_G m : s \ in \ \tau \longrightarrow \\ & \quad m \in fob\text{-method} \wedge \tau \in fob\text{-type} \wedge (\forall x \ \tau. \Gamma x = just \ \tau \longrightarrow \tau \in fob\text{-type}) \longrightarrow \\ & \quad \Gamma \vdash m : s \ in \ \tau \wedge s \in fob\text{-sig}) \\ & \wedge (\Gamma \vdash_G ms :: ss \ in \ \tau \longrightarrow \\ & \quad ms \in fob\text{-methods} \wedge \tau \in fob\text{-type} \wedge (\forall x \ \tau. \Gamma x = just \ \tau \longrightarrow \tau \in fob\text{-type}) \longrightarrow \\ & \quad \Gamma \vdash ms :: ss \ in \ \tau \wedge ss \in fob\text{-sigs}) \\ & (\mathbf{is} (\Gamma \vdash_G e : \tau \longrightarrow ?P \ \Gamma \ e \ \tau) \wedge (\Gamma \vdash_G m : s \ in \ \tau \longrightarrow ?PM \ \Gamma \ m \ s \ \tau) \wedge (\Gamma \vdash_G ms \\ & :: ss \ in \ \tau \longrightarrow ?PS \ \Gamma \ ms \ ss \ \tau)) \\ & \mathbf{apply} (induct rule: gt-gtm-gtms.induct) \end{aligned}$$


```

```

using wte-var apply blast
apply clarify apply (rule conjI) apply (rule wte-const)
  apply (case-tac c) apply force apply force apply force apply force
  apply force
apply clarify
  apply (rule conjI)
  apply (rule wte-abs) apply simp
  apply clarify apply (erule-tac x=x in allE) apply (erule impE)
  apply simp apply (erule conjE)+
  apply (erule impE) apply (rule conjI)
  apply (rule fob-subst) apply simp
  apply (rule allI)+ apply (rule impI)
  apply (erule-tac x=xa in allE) apply (erule-tac x=τ' in allE)
  apply (case-tac x = xa) apply simp apply simp
  apply (rule conjI) apply (erule conjE) apply assumption apply assumption
  apply (erule-tac x=Suc (setmax L) in allE)
  apply (erule impE) apply (rule max-is-fresh) apply simp
  apply (erule conjE)+ apply (erule impE) apply (rule conjI) apply (rule fob-subst)
apply simp
  apply (rule allI)+ apply (rule impI) apply (case-tac x = Suc (setmax L)) apply
simp apply simp apply blast
  apply force
  apply clarify apply (rule conjI) apply (rule wte-app) apply force apply (erule
impE) apply blast
  apply (erule impE) apply blast apply (erule conjE)+ apply (frule subcon-fob-sub)
apply simp
  apply force apply (rule wte-sub) apply simp apply simp apply force
  apply force
  apply force
  apply clarify apply (erule impE) apply force apply (rule conjI) apply (rule
wte-sel) apply blast
  apply simp apply clarify apply (rule lookup-fob) apply simp apply simp
  apply force
  defer
  apply clarify apply (erule impE) apply blast apply (rule conjI) apply (rule
wte-obj) apply simp apply force
  defer
  apply clarify apply (rule conjI) apply (rule wt-nil) apply force
  apply clarify apply (rule conjI) apply (rule wt-cons) apply simp apply simp
apply simp apply blast
  apply clarify apply (rule conjI)
    apply (erule impE) apply blast apply clarify
    apply (erule impE) apply blast
    apply (case-tac m) apply (cases rule: wtm.cases) apply force
    apply simp apply (rule wte-upd) apply simp apply (rule wt-mtd)
    apply simp apply simp apply simp apply simp
  apply clarify apply (rule conjI)
    apply (erule impE) apply blast
    apply (rule wt-mtd) apply (frule subcon-fob-sub) apply force apply force apply
(rule wte-sub)

```

```

apply force apply force apply simp apply force
done

lemma gradual-soundness-fob:
  [Γ ⊢G e : τ; e ∈ fob-term; ∀ x. τ. Γ x = just τ → τ ∈ fob-type] ⇒
    Γ ⊢ e : τ ∧ τ ∈ fob-type
using gradual-soundness-fob-impl by simp

lemma subst-eq: {i→FVar x}e = {i→FVar x}e' ⇒ e = e' sorry

inductive-cases wt-mtd-inv: Γ ⊢ (Method l e) : (Sig l σ) in ss

lemma compile-soundness-fob-impl:
  (Γ ⊢ e ⇒ e' : τ →
   e ∈ fob-term ∧ (∀ x. τ. Γ x = just τ → τ ∈ fob-type) →
   Γ ⊢ e : τ ∧ τ ∈ fob-type ∧ e = e')
  ∧ (Γ ⊢ m ⇒ m' : s in τ →
      m ∈ fob-method ∧ τ ∈ fob-type ∧ (∀ x. τ. Γ x = just τ → τ ∈ fob-type) →
      Γ ⊢ m : s in τ ∧ s ∈ fob-sig ∧ m = m')
  ∧ (Γ ⊢ ms ⇒ ms' :: ss in τ →
      ms ∈ fob-methods ∧ τ ∈ fob-type ∧ (∀ x. τ. Γ x = just τ → τ ∈ fob-type) →
      Γ ⊢ ms :: ss in τ ∧ ss ∈ fob-sigs ∧ ms = ms')
  (is (Γ ⊢ e ⇒ e' : τ → ?P Γ e e' τ) ∧ (Γ ⊢ m ⇒ m' : s in τ → ?PM Γ m m' s
  τ) ∧ (Γ ⊢ ms ⇒ ms' :: ss in τ → ?PS Γ ms ms' ss τ))
  apply (induct rule: compile-cm-cms.induct)
  using wte-var apply blast
  apply clarify apply (rule conjI) apply (rule wte-const)
  apply (case-tac c) apply force apply force apply force apply force
  apply force
  apply clarify
  apply (rule conjI)
  apply (rule wte-abs) apply simp
  apply clarify apply (erule-tac x=x in allE) apply (erule impE)
  apply simp apply (erule conjE)+
  apply (erule impE) apply (rule conjI)
  apply (rule fob-subst) apply simp
  apply (rule allI)+ apply (rule impI)
  apply (erule-tac x=xa in allE) apply (erule-tac x=τ' in allE)
  apply (case-tac x=xa) apply simp apply simp
  apply (rule conjI) apply (erule conjE) apply assumption apply assumption
  apply (erule-tac x=Suc (setmax L) in allE)
  apply (erule impE) apply (rule max-is-fresh) apply simp
  apply (erule conjE)+ apply (erule impE) apply (rule conjI) apply (rule fob-subst)
  apply simp
  apply (rule allI)+ apply (rule impI) apply (case-tac x=Suc (setmax L)) apply
  simp apply simp
  apply (rule conjI) apply blast
  apply (erule conjE)+ apply (frule subst-eq) apply simp
  apply force

```

```

apply clarify apply (rule conjI) apply (rule wte-app) apply force apply (erule
impE) apply blast
  apply (erule impE) apply blast apply (erule conjE)+ apply (frule subcon-fob-sub)
apply simp
  apply force apply (rule wte-sub) apply simp apply simp apply (rule conjI)
apply force
  apply (erule impE) apply blast apply (erule impE) apply blast apply (erule
conjE)+
    apply simp
    apply (simp add: mcast-def) apply clarify
    using merge-fob apply force
  apply force
  apply force
  apply clarify apply (erule impE) apply force apply (rule conjI) apply (rule
wte-sel) apply blast
    apply simp apply clarify apply (rule conjI) apply (rule lookup-fob) apply simp
  apply simp apply simp
    apply force
    defer
    apply clarify apply (erule impE) apply blast apply (rule conjI) apply (rule
wte-obj) apply simp apply force
      apply (rule conjI) apply force apply simp
      defer
      apply clarify apply (rule conjI) apply (rule wt-nil) apply force
      apply clarify apply (rule conjI) apply (rule wt-cons) apply blast apply blast
      apply (rule conjI) apply blast
        apply simp
        apply clarify apply (erule impE) apply blast apply clarify
        apply (erule impE) apply blast apply (rule conjI)
        apply (rule wte-upd) apply simp apply simp apply (rule wt-mtd)
        apply force apply simp apply simp apply (rule conjI) apply force
        apply (simp add: mcast-def) apply clarify using merge-fob apply force
      apply clarify apply (erule impE) apply blast
        apply (rule conjI) apply (rule wt-mtd) apply (frule subcon-fob-sub) apply force
      apply force
        apply (rule wte-sub) apply force apply force apply simp apply (rule conjI)
      apply force
        apply (simp add: mcast-def) apply clarify
proof -
  fix  $\Gamma \sigma \tau e e' l ss$ 
  assume ss:  $ss \in \text{fob-sigs}$  and  $s: \sigma \in \text{fob-type}$  and  $sss: \sigma \neq \sigma \leftarrow \text{ObjT } ss$ 
  from ss have ObjT ss  $\in \text{fob-type}$  by auto
  with sss s merge-fob have False by auto
  thus  $e' = \text{Cast } e' (\sigma \rightarrow \tau) ((\sigma \leftarrow \text{ObjT } ss) \rightarrow \tau)$  by simp
qed

lemma compile-soundness-fob:
   $\llbracket \Gamma \vdash e \Rightarrow e': \tau; e \in \text{fob-term}; \forall x. \tau. \Gamma x = \text{just } \tau \longrightarrow \tau \in \text{fob-type} \rrbracket \implies$ 
     $\Gamma \vdash e : \tau \wedge \tau \in \text{fob-type} \wedge e = e'$ 
  using compile-soundness-fob-impl by simp

```

8 The Substitution Lemma

8.1 Lemmas About Substitution

```

lemma bsubst-cross-all:
  ( $\forall i j u v. i \neq j \wedge \{i \rightarrow u\}(\{j \rightarrow v\}e) = \{j \rightarrow v\}e \longrightarrow \{i \rightarrow u\}e = e)$ 
   $\wedge (\forall i j u v. i \neq j \wedge \{i \rightarrow u\}(\{j \rightarrow v\}m) = \{j \rightarrow v\}m \longrightarrow$ 
     $\{i \rightarrow u\}m = m)$ 
   $\wedge (\forall i j u v. i \neq j \wedge \{i \rightarrow u\}(\{j \rightarrow v\}ms) = \{j \rightarrow v\}ms \longrightarrow$ 
     $\{i \rightarrow u\}ms = ms)$ 
apply (induct rule: expr-method.induct)
apply force
apply force
apply force
apply clarify
  apply (erule-tac x=Suc i in allE)
  apply (erule-tac x=Suc j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply simp
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply simp apply blast
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply simp apply blast
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply simp
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply simp
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply (erule-tac x=v in allE)

```

```

apply (erule-tac x=v in allE)
apply simp
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply simp
apply clarify apply simp
apply clarify
  apply (erule-tac x=i in allE)
  apply (erule-tac x=i in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=v in allE)
  apply (erule-tac x=v in allE)
  apply simp
done

lemma bsubst-cross[rule-format]:
  ( $\forall i j u v. i \neq j \wedge \{i \rightarrow u\}(\{j \rightarrow v\}e) = \{j \rightarrow v\}e \longrightarrow \{i \rightarrow u\}e = e$ )
  using bsubst-cross-all apply blast done

lemma finite-env-update: finite-env  $\Gamma \implies$  finite-env  $(\Gamma(x \mapsto \tau))$ 
  by (simp add: finite-env-def)

lemma bsubst-wt-all:
   $(\Gamma \vdash e : \tau \longrightarrow \text{finite-env } \Gamma \longrightarrow (\forall k e'. \{k \rightarrow e'\}e = e))$ 
   $\wedge (\Gamma \vdash m : \sigma \text{ in } A \longrightarrow \text{finite-env } \Gamma \longrightarrow (\forall k e'. \text{bsubstm } k e' m = m))$ 
   $\wedge (\Gamma \vdash ms :: msigs \text{ in } A' \longrightarrow \text{finite-env } \Gamma \longrightarrow (\forall k e'. \text{bsubsts } k e' ms = ms))$ 
  apply (induct rule: wte-wtm-wtms.induct)
  apply force
  apply force
  apply clarify apply (simp del: fun-upd-apply)
    apply (erule-tac x=Suc (setmax L) in allE)
    apply (erule impE)
    apply (rule max-is-fresh) apply simp
    apply (erule conjE)+
    apply (erule impE) apply (rule finite-env-update) apply assumption
    apply (erule-tac x=Suc k in allE)
    apply (erule-tac x=e' in allE)
    apply (rule bsubst-cross) apply blast
  apply force
  apply force
  apply force
  apply force
  apply clarify
    apply (erule-tac x=k in allE)

```

```

apply (erule-tac x=k in allE)
apply (erule-tac x=e' in allE)
apply (erule-tac x=e' in allE)
apply simp
apply clarify
  apply (erule-tac x=k in allE)
  apply (erule-tac x=e' in allE)
  apply simp
apply clarify
  apply (erule-tac x=k in allE)
  apply (erule-tac x=e' in allE)
  apply simp
apply force
apply force
done

lemma bsubst-wt:
  [Γ ⊢ e : τ; finite-env Γ] ==> {k → e'} e = e
using bsubst-wt-all by blast

lemma subst-permute-impl[rule-format]:
  (forall j x z Γ τ e'. x ≠ z ∧ Γ ⊢ e' : τ ∧ finite-env Γ
   → [z → e']({j → FVar x} e) = {j → FVar x}([z → e'] e))
  ∧ (forall j x z Γ τ e'. x ≠ z ∧ Γ ⊢ e' : τ ∧ finite-env Γ
   → [z : → e']({j : → FVar x} m) = {j : → FVar x}([z : → e'] m))
  ∧ (forall j x z Γ τ e'. x ≠ z ∧ Γ ⊢ e' : τ ∧ finite-env Γ
   → [z :: → e']({j :: → FVar x} ms) = {j :: → FVar x}([z :: → e'] ms))
apply (induct rule: expr-method.induct)
apply force
apply simp apply clarify
  using bsubst-wt apply force
apply simp
apply simp apply clarify apply blast
apply simp apply clarify
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=z in allE)
  apply (erule-tac x=z in allE)
  apply (erule-tac x=Γ in allE)
  apply (erule-tac x=Γ in allE)
  apply blast
apply simp
apply simp
apply simp
apply simp apply clarify
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=x in allE)

```

```

apply (erule-tac x=x in allE)
apply (erule-tac x=z in allE)
apply (erule-tac x=z in allE)
apply (erule-tac x=Γ in allE)
apply (erule-tac x=Γ in allE)
apply blast
apply simp
apply simp
apply simp apply clarify
  apply (erule-tac x=j in allE)
  apply (erule-tac x=j in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=z in allE)
  apply (erule-tac x=z in allE)
  apply (erule-tac x=Γ in allE)
  apply (erule-tac x=Γ in allE)
  apply blast
done

lemma subst-permute:
  [ x ≠ z; Γ ⊢ e' : τ; finite-env Γ ]
  ⟹ {j → FVar x}([z → e']e) = [z → e']({j → FVar x}e)
using subst-permute-impl[of e Method l e []] apply simp
  apply (erule-tac x=j in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=z in allE)
  apply (erule-tac x=Γ in allE)
  apply (erule-tac x=τ in allE)
  apply (erule-tac x=e' in allE)
  apply force
done

lemma decompose-subst-impl:
  ( ∀ u x i. x ∉ FV e → {i → u}e = [x → u]({i → FVar x}e))
  ∧ ( ∀ u x i. x ∉ FVm m → {i → u}m = [x → u]({i → FVar x}m))
  ∧ ( ∀ u x i. x ∉ FVs ms → {i → u}ms = [x → u]({i → FVar x}ms))
  apply (induct rule: expr-method.induct)
  apply force
  apply force
  apply force
  apply clarify
    apply (erule-tac x=u in allE)
    apply (erule-tac x=x in allE)
    apply (erule-tac x=Suc i in allE)
    apply simp
  apply force
  apply clarify
    apply (erule-tac x=u in allE)
    apply (erule-tac x=x in allE)

```

```

apply (erule-tac x=i in allE)
apply simp
apply clarify
  apply (erule-tac x=u in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=i in allE)
  apply simp
apply clarify
  apply (erule-tac x=u in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=i in allE)
  apply simp
apply simp
apply clarify
  apply (erule-tac x=u in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=i in allE)
  apply simp
apply simp
apply clarify
  apply (erule-tac x=u in allE)
  apply (erule-tac x=u in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=x in allE)
  apply (erule-tac x=i in allE)
  apply (erule-tac x=i in allE)
  apply simp
done

lemma decompose-subst[rule-format]:
  ( $\forall u x i. x \notin FV e \longrightarrow \{i \rightarrow u\}e = [x \rightarrow u](\{i \rightarrow FVar x\}e)$ )
  using decompose-subst-impl by blast

```

8.2 Lammas About Environments

```

constdefs subsequeq :: env ⇒ env ⇒ bool (infixl ⊆ 80)
   $\Gamma \subseteq \Gamma' \equiv \forall x \tau. \Gamma x = Some \tau \longrightarrow \Gamma' x = Some \tau$ 

```

```

lemma env-weakening-impl:
  ( $\Gamma \vdash e : \tau \longrightarrow (\forall \Gamma'. \Gamma \subseteq \Gamma' \wedge finite-env \Gamma' \longrightarrow \Gamma' \vdash e : \tau)$ )
   $\wedge (\Gamma \vdash m : \sigma \text{ in } A \longrightarrow (\forall \Gamma'. \Gamma \subseteq \Gamma' \wedge finite-env \Gamma' \longrightarrow \Gamma' \vdash m : \sigma \text{ in } A))$ 
   $\wedge (\Gamma \vdash ms :: \sigma s \text{ in } A' \longrightarrow (\forall \Gamma'. \Gamma \subseteq \Gamma' \wedge finite-env \Gamma' \longrightarrow \Gamma' \vdash ms :: \sigma s \text{ in } A'))$ 
  apply (induct rule: wte-wtm-wtms.induct)
  using subsequeq-def wte-var apply blast
  using wte-const apply blast
  defer
  using wte-app apply blast
  using wte-sub apply blast
  using wte-cast apply blast
  using wte-sel apply blast

```

```

using wte-upd apply blast
using wte-obj apply blast
using wt-mtd apply blast
using wt-nil apply blast
using wt-cons apply blast
apply (rule allI) apply (rule impI)
proof -
fix L Γ σ τ e Γ'
assume fL: finite L
and IH: ∀ x. x ∉ L →
(Γ(x ↦ σ) ⊢ {0 → FVar x}e : τ ∧
(∀ Γ'. Γ(x ↦ σ) ⊆ Γ' ∧ finite-env Γ' → Γ' ⊢ {0 → FVar x}e : τ)) ∧ x ∉ dom Γ
and GGP: Γ ⊆ Γ' ∧ finite-env Γ'
let ?L = L ∪ dom Γ'
from GGP have finite (dom Γ') using finite-env-def by auto
with fL have fL2: finite ?L by auto
{ fix x assume xL: x ∉ ?L
from GGP have xGxGP: Γ(x ↦ σ) ⊆ Γ'(x ↦ σ) using subseteq-def by auto
from GGP have fGP: finite-env (Γ'(x ↦ σ)) using finite-env-def by auto
from xL fGP IH xGxGP have Γ'(x ↦ σ) ⊢ {0 → FVar x}e : τ ∧ x ∉ dom Γ' by
blast
} hence X: ∀ x. x ∉ ?L → Γ'(x ↦ σ) ⊢ {0 → FVar x}e : τ ∧ x ∉ dom Γ' by blast
from fL2 X show Γ' ⊢ (λ:σ. e) : σ → τ by (rule wte-abs)
qed

```

lemma env-weakening:
 $\llbracket \Gamma \vdash e : \tau; \Gamma \subseteq \Gamma'; \text{finite-env } \Gamma' \rrbracket \implies \Gamma' \vdash e : \tau$
using env-weakening-impl by blast

8.3 Main Lemma

lemma substitution-impl:

```

(Γ ⊢ e1 : τ → Γ x = Some σ ∧ finite-env Γ →
(∀ Γ'. finite-env Γ' ∧ Γ - x ⊂ Γ' ∧ Γ' ⊢ e2 : σ →
Γ' ⊢ [x → e2]e1 : τ))
∧ (Γ ⊢ m : sig in A → Γ x = Some σ ∧ finite-env Γ →
(∀ Γ'. finite-env Γ' ∧ Γ - x ⊂ Γ' ∧ Γ' ⊢ e2 : σ →
Γ' ⊢ [x : e2]m : sig in A))
∧ (Γ ⊢ ms :: sigs in A' → Γ x = Some σ ∧ finite-env Γ →
(∀ Γ'. finite-env Γ' ∧ Γ - x ⊂ Γ' ∧ Γ' ⊢ e2 : σ →
Γ' ⊢ [x :: e2]ms :: sigs in A'))
apply (induct rule : wte-wtm-wtms.induct)
apply (case-tac x = xa) apply simp
apply clarify apply (simp only: remove-bind-def)
apply (erule-tac x=xa in allE) apply simp apply (rule wte-var) apply assumption
using wte-const apply force
defer
apply clarify apply simp apply (rule wte-app) apply blast apply blast
apply clarify apply simp apply (rule wte-sub) apply blast apply blast
apply clarify apply simp apply (rule wte-cast) apply blast apply blast apply

```

```

blast
apply clarify apply simp apply (rule wte-sel) apply blast apply blast
apply clarify apply simp apply (rule wte-upd) apply blast apply blast
apply blast
apply clarify apply simp apply (rule wte-obj) apply blast
apply clarify apply simp apply (rule wt-mtd) apply blast apply blast
apply clarify apply simp apply (rule wt-nil)
apply clarify apply simp apply (rule wt-cons) apply blast apply blast
proof clarify
fix L::nat set and Γ::env and σ'::ty and τ e Γ'
assume fL: finite L
and IH: ∀ xa. xa ∉ L →
  (Γ(xa ↦ σ') ⊢ {0→FVar xa}e : τ ∧
   ((Γ(xa ↦ σ')) x = Some σ ∧ finite-env (Γ(xa ↦ σ')) →
    (∀ Γ'. finite-env Γ' ∧ Γ(xa ↦ σ') - x ⊂ Γ' ∧ Γ' ⊢ e2 : σ →
     Γ' ⊢ [x→e2]({0→FVar xa}e) : τ))) ∧
  xa ∉ dom Γ
and xG: Γ x = Some σ and fG: finite-env Γ
and fGP: finite-env Γ' and GxG: Γ - x ⊂ Γ' and wte2: Γ' ⊢ e2 : σ
let ?L = insert x (L ∪ dom Γ ∪ dom Γ')
from fL fG fGP have fL2: finite ?L using finite-env-def by auto
show Γ' ⊢ [x→e2](λ:σ'. e) : σ' → τ
apply simp apply (rule wte-abs[of ?L])
using fL2 apply simp apply (rule allI) apply (rule impI) apply (rule conjI)
defer apply simp
proof -
fix x' assume xL: x' ∉ ?L
let ?G = Γ(x' ↦ σ')
let ?GP = Γ'(x' ↦ σ')
note xL
moreover from xG xL have ?G x = Some σ by auto
moreover from fG have finite-env ?G using finite-env-def by auto
moreover from fGP have fGP2: finite-env ?GP using finite-env-def by auto
moreover from GxG have ?G - x ⊂ ?GP using remove-bind-def by auto
moreover have wte2: ?GP ⊢ e2 : σ
proof -
from xL have GPGP: Γ' ⊆ ?GP using subseq-def by auto
from wte2 GPGP fGP2 show ?thesis using env-weakening by blast
qed
moreover note IH
ultimately have wte: ?GP ⊢ [x→e2]({0→FVar x'}e) : τ by blast

from xL have xpx: x' ≠ x by auto
from xpx wte2 fGP2 have {0→FVar x'}([x→e2]e) = [x→e2]({0→FVar x'}e)
by (rule subst-permute)

with wte have wteb: ?GP ⊢ {0→FVar x'}([x→e2]e) : τ by simp
from xL have xGP: x' ∉ dom Γ' by auto
from wteb xGP show ?GP ⊢ {0→FVar x'}([x→e2]e) : τ
by blast

```

```

qed
qed

lemma substitution:
  [[ Γ ⊢ e1 : τ; Γ x = Some σ; finite-env Γ; finite-env Γ'; Γ - x ⊂ Γ'; Γ' ⊢ e2 : σ ]]
  ==> Γ' ⊢ [x→e2]e1 : τ
using substitution-impl apply blast done

```

9 Type Safety

9.1 Canonical Forms

```

lemma canonical-form-simple-dyn-impl[rule-format]:
  (Γ ⊢ v : τ —> Γ = empty ∧ τ = ? ∧ SimpleValues v —> False)
  ∧ (Γ ⊢ m : σ in A —> True)
  ∧ (Γ ⊢ ms :: σs in A' —> True)
  apply (induct rule: wte-wtm-wtms.induct)
  apply force apply (case-tac c) apply force apply force apply force apply force
  apply force
  apply force apply force
  apply (cases rule: subtype.cases) apply force apply force apply force apply force
    apply force apply force apply force apply force
  apply force apply force apply force apply force apply force apply force apply force
  apply force
  done

lemma canonical-form-simple-dyn:
  [[ empty ⊢ v : ?; SimpleValues v ]] ==> False
  using canonical-form-simple-dyn-impl by blast

lemma canonical-form-int-impl:
  (Γ ⊢ e : τ —> τ = IntT ∧ Values e ∧ Γ = empty —> (∃ n. e = Const (IntC n)))
  ∧ (Γ ⊢ m : σ in A —> True)
  ∧ (Γ ⊢ ms :: σs in A' —> True)
  apply (induct rule: wte-wtm-wtms.induct)
  apply force
  apply (case-tac c) apply force apply force apply force apply force apply force
  apply force apply force
  apply clarify apply (cases rule: subtype.cases) apply force apply force apply force
  apply force
  apply force apply force apply force apply force apply force
  apply simp apply clarify
  apply (rule canonical-form-simple-dyn) apply simp apply auto
  apply (cases rule: consistent.cases) apply auto
  done

lemma canonical-form-int:
  [[ empty ⊢ e : IntT; Values e ]] ==> ∃ n. e = Const (IntC n)
  using canonical-form-int-impl by simp

```

```

lemma simple-implies-value[simp]: SimpleValues v ==> Values v
  apply (cases v) by auto

lemma canonical-form-simple-fun-impl:
  ( $\Gamma \vdash v : st \longrightarrow \Gamma = empty \wedge SimpleValues v \longrightarrow$ 
   ( $\forall \sigma \tau. st = \sigma \rightarrow \tau \longrightarrow$ 
    ( $\exists \sigma' e. v = \lambda:\sigma'. e \vee (\exists c. v = Const c))$ )
    $\wedge (\Gamma \vdash m : s \text{ in } A \longrightarrow True)$ 
    $\wedge (\Gamma \vdash ms :: ss \text{ in } A' \longrightarrow True)$ )
  apply (induct rule: wte-wtm-wtms.induct)
  apply force apply force apply force apply force
  apply clarify apply simp
    apply (frule sub-fun-right-inv) apply (erule exE)+
    apply simp
  apply simp apply simp apply simp apply simp apply simp apply simp apply
  simp
  done

lemma canonical-form-simple-fun:
  [ $\emptyset \vdash v : \sigma \rightarrow \tau; SimpleValues v \Rightarrow$ 
   ( $\exists \sigma' e. v = \lambda:\sigma'. e \vee (\exists c. v = Const c)$ )
  using canonical-form-simple-fun-impl by blast

lemma canonical-form-fun-impl:
  ( $\Gamma \vdash v : st \longrightarrow (\forall \sigma \tau. st = (\sigma \rightarrow \tau) \wedge \Gamma = empty \wedge Values v \longrightarrow$ 
   ( $\exists \sigma' e. v = \lambda:\sigma'. e \vee (\exists c. v = Const c)$ )
    $\vee (\exists \sigma' \tau' v' \varrho \nu. v = v'(\sigma' \rightarrow \tau' \Rightarrow \varrho \rightarrow \nu)))$ )
   $\wedge (\Gamma \vdash m : s \text{ in } A \longrightarrow True)$ 
   $\wedge (\Gamma \vdash ms :: ss \text{ in } A' \longrightarrow True)$ 
  apply (induct rule: wte-wtm-wtms.induct)
  apply force apply force apply force apply force
  apply clarify apply (frule sub-fun-right-inv) apply (erule exE)+
    apply simp
  apply (rule allI)+ apply (rule impI) apply (erule conjE)
    apply (cases rule: consistent.cases)
    apply simp apply simp apply simp apply simp
    defer apply simp+
    using canonical-form-simple-dyn apply blast
  done

lemma canonical-form-fun:
  assumes wtf:  $\emptyset \vdash v : \sigma \rightarrow \tau$ 
  and v: Values v
  shows ( $\exists \sigma' e. v = \lambda:\sigma'. e \vee (\exists c. v = Const c)$ )
     $\vee (\exists \sigma' \tau' v' \varrho \nu. v = v'(\sigma' \rightarrow \tau' \Rightarrow \varrho \rightarrow \nu))$ 
  using wtf v canonical-form-fun-impl by simp

lemma canonical-form-obj-impl:
  ( $\Gamma \vdash v : ot \longrightarrow (\forall ss. ot = ObjT ss \wedge \Gamma = empty \wedge Values v \longrightarrow$ 

```

```

 $(\exists ms \tau. v = Obj\ ms\ \tau) \vee (\exists ms \tau rr tt. v = (Obj\ ms\ \tau)(ObjT\ rr \Rightarrow ObjT\ tt)))$ 
 $\wedge (\Gamma \vdash m : s \text{ in } A \longrightarrow True)$ 
 $\wedge (\Gamma \vdash ms :: ss \text{ in } A' \longrightarrow True)$ 
apply (induct rule: wte-wtm-wtms.induct)
apply force
apply (case-tac c) apply simp apply simp apply simp apply simp apply simp
apply simp apply simp
apply clarify apply (frule sub-obj-right-inv) apply (erule exE) +
  apply simp
defer
apply simp apply simp apply simp apply simp apply simp apply simp
apply (rule allI) apply (rule impI) apply (erule conjE)
  apply (cases rule: consistent.cases) apply simp+
  using canonical-form-simple-dyn apply blast
  apply auto
done

lemma canonical-form-obj:
  [ empty ⊢ v : ObjT ss; Values v ]
  ==> ( $\exists ms \tau. v = Obj\ ms\ \tau) \vee (\exists ms \tau rr tt. v = (Obj\ ms\ \tau)(ObjT\ rr \Rightarrow ObjT\ tt))$ )
  using canonical-form-obj-impl by blast

```

9.2 Delta Typability

```

lemma delta-typability:
assumes tc: TypeOf c =  $\tau' \rightarrow \tau$ 
and vt: empty ⊢ v :  $\tau'$  and vv: Values v
shows  $\exists v'. \delta c v = Some\ v' \wedge empty \vdash v' : \tau$ 
using tc vt vv apply (cases c) apply simp apply simp apply simp
proof -
  assume tc: TypeOf c =  $\tau' \rightarrow \tau$  and vt: empty ⊢ v :  $\tau'$ 
  and vv: Values v and c: c = Succ
  from c tc have st:  $\tau' = IntT \wedge \tau = IntT$  by simp
  from st vt vv obtain n where v: v = Const (IntC n)
    apply simp using canonical-form-int by blast
  let ?VP = Const (IntC (n + 1))
  have wtvp: empty ⊢ ?VP : IntT
    using wte-const[of empty IntC (n + 1)] by auto
  from c v have d:  $\delta c v = Some\ ?VP$  by simp
  from d wtvp st show ?thesis by simp
next
  assume tc: TypeOf c =  $\tau' \rightarrow \tau$  and vt: empty ⊢ v :  $\tau'$ 
  and vv: Values v and c: c = IsZero
  from c tc have st:  $\tau' = IntT \wedge \tau = BoolT$  by simp
  from st vt vv obtain n where v: v = Const (IntC n)
    apply simp using canonical-form-int by blast
  let ?VP = Const (BoolC (n = 0))
  have wtvp: empty ⊢ ?VP : BoolT

```

```

using wte-const[of empty BoolC (n = 0)] by auto
from c v have d:  $\delta c v = \text{Some } ?VP$  by simp
from d wtvp st show ?thesis by simp
qed

```

9.3 Some Inversion Lemmas

```

lemma wte-lambda-inv-impl:

$$(\Gamma \vdash e' : \tau' \longrightarrow (\forall \sigma \sigma' e \tau. e' = \lambda:\sigma'. e \wedge \tau' = \sigma \rightarrow \tau \longrightarrow$$


$$\sigma <: \sigma' \wedge (\exists L. \text{finite } L \wedge (\forall x. x \notin L \longrightarrow \Gamma(x \mapsto \sigma') \vdash \{0 \rightarrow FVar x\} e : \tau)))$$


$$\wedge (\Gamma \vdash m : \sigma \text{ in } A \longrightarrow \text{True})$$


$$\wedge (\Gamma \vdash ms :: \sigma s \text{ in } A' \longrightarrow \text{True})$$

apply (induct rule: wte-wtm-wtms.induct)
apply simp apply simp
apply clarify apply (rule conjI) using subtype-reflexive apply blast
apply (rule-tac x=L in exI) apply clarify
apply (erule-tac x=x in allE) apply (erule impE) apply simp
apply (erule conjE)+ apply assumption
apply simp apply simp
apply clarify apply (rule conjI) apply (cases rule: subtype.cases) apply force
apply force apply force apply force apply force
apply (rule subtype-trans) apply force apply force apply force apply force
apply (frule sub-fun-right-inv)
apply (erule exE)+
apply (erule-tac x=s1 in allE)
apply (erule-tac x=σ'a in allE)
apply (erule-tac x=ea in allE)
apply (erule-tac x=s2 in allE)
apply clarify apply (erule impE) apply simp
apply (erule conjE) apply (erule exE) apply (erule conjE)
apply (rule-tac x=L in exI) apply (rule conjI) apply simp
apply clarify apply (erule-tac x=x in allE) apply clarify
apply (rule wte-sub) apply simp
apply simp
apply simp apply simp apply simp apply simp apply simp apply simp apply simp
simp
done

lemma wte-lambda-inv:

$$\Gamma \vdash e' : \tau' \Longrightarrow (\forall \sigma \sigma' e \tau. e' = \lambda:\sigma'. e \wedge \tau' = \sigma \rightarrow \tau \longrightarrow$$


$$\sigma <: \sigma' \wedge (\exists L. \text{finite } L \wedge (\forall x. x \notin L \longrightarrow \Gamma(x \mapsto \sigma') \vdash \{0 \rightarrow FVar x\} e : \tau)))$$

using wte-lambda-inv-impl by force

lemma wte-cast-inv-impl[rule-format]:

$$(\Gamma \vdash e' : \tau \longrightarrow (\forall e \sigma.$$


$$e' = e \langle \sigma \Rightarrow \tau' \rangle \longrightarrow \tau' <: \tau \wedge \sigma \sim \tau' \wedge \sigma \neq \tau' \wedge \Gamma \vdash e : \sigma))$$


$$\wedge (\Gamma \vdash m : \sigma \text{ in } A \longrightarrow \text{True})$$


$$\wedge (\Gamma \vdash ms :: \sigma s \text{ in } A' \longrightarrow \text{True})$$

apply (induct rule: wte-wtm-wtms.induct)
apply force apply force apply force apply force

```

```

apply clarify apply (erule-tac x=ea in allE)
  apply (erule-tac x=σ' in allE) apply simp apply (rule subtype-trans)
    apply blast apply simp
  apply force apply force apply force apply force apply force apply force apply
  force
done

lemma wte-cast-inv:
  Γ ⊢ e⟨σ⇒τ'⟩ : τ ⟹ τ' <: τ ∧ σ ~ τ' ∧ σ ≠ τ' ∧ Γ ⊢ e : σ
  using wte-cast-inv-impl by blast

lemma wte-const-inv-impl:
  (Γ ⊢ e' : T ⟶ ( ∀ σ c τ. e' = Const c ∧ T = σ → τ ⟶
    ( ∃ σ' τ'. TypeOf c = σ' → τ' ∧ σ <: σ' ∧ τ' <: τ)))
  ∧ (Γ ⊢ m : σ in A ⟶ True)
  ∧ (Γ ⊢ ms :: σs in A' ⟶ True)
  apply (induct rule: wte-wtm-wtms.induct)
  apply simp+
  apply clarify
  apply (frule sub-fun-right-inv) apply (erule exE)+

  apply clarify
  apply (erule-tac x=s1 in allE)
  apply (erule-tac x=c in allE)
  apply (erule-tac x=s2 in allE)
  apply simp
  apply (erule exE)+

  apply (rule-tac x=σ'a in exI)
  apply (rule-tac x=τ'a in exI)
  apply clarify apply (rule conjI)
  apply (rule subtype-trans) apply simp+
  apply (rule subtype-trans) apply simp+
done

lemma wte-const-inv:
  Γ ⊢ e' : T ⟹ ( ∀ σ c τ. e' = Const c ∧ T = σ → τ ⟶
    ( ∃ σ' τ'. TypeOf c = σ' → τ' ∧ σ <: σ' ∧ τ' <: τ))
  using wte-const-inv-impl apply force done

lemma wte-obj-inv-impl:
  (Γ ⊢ e' : τ' ⟶ ( ∀ ms ss. e' = Obj ms τ ∧ τ' = ObjT ss ⟶
    ( ∃ tt. τ = ObjT tt ∧ tt <: ss ∧ Γ ⊢ ms :: tt in ObjT tt)))
  ∧ (Γ ⊢ m : s in A ⟶ True)
  ∧ (Γ ⊢ ms :: ss in A' ⟶ True)
  apply (induct rule: wte-wtm-wtms.induct)
  apply simp apply simp apply simp apply simp
  apply clarify
  apply (frule sub-obj-right-inv)
  apply (erule exE) apply (erule conjE)
  apply (erule-tac x=ms in allE)
  apply (erule-tac x=ssa in allE)

```

```

apply simp apply clarify
apply (rule-tac x=tt in exI) apply simp
apply (rule sub-sigs-trans) apply force apply force
apply simp apply simp apply simp apply simp apply force apply simp apply
simp apply simp
done

lemma wte-obj-inv:
 $\Gamma \vdash Obj\ ms\ \tau : ObjT\ ss \implies (\exists\ tt.\ \tau = ObjT\ tt \wedge tt <:: ss \wedge \Gamma \vdash ms :: tt\ in\ ObjT\ tt)$ 
using wte-obj-inv-impl by blast

```

9.4 Some Properties of Objects

```

lemma lookup-wtm-impl:
 $(\Gamma \vdash e' : \tau' \longrightarrow True) \wedge (\Gamma \vdash m : s\ in\ A \longrightarrow mname\ m = ms-name\ s) \wedge (\Gamma \vdash ms :: ss\ in\ ObjT\ ss \longrightarrow lookup\ ms\ l = Some\ (Method\ l\ b) \longrightarrow (\exists\ \tau.\ \Gamma \vdash (Method\ l\ b) : (Sig\ l\ \tau)\ in\ ObjT\ ss))$ 
apply (induct rule: wte-wtm-wtms.induct)
apply simp apply simp apply simp apply simp apply simp apply simp
apply simp apply simp apply simp
apply (simp add: mname-def ms-name-def) apply simp
apply (rule impI)
  apply (case-tac m) apply simp
  apply (case-tac s) apply simp
  apply (simp add: mname-def ms-name-def)
  apply (case-tac l = mname m)
    apply (simp add: mname-def)
    apply (rule-tac x=ty in exI)
      apply simp
    apply (simp add: mname-def)
done

```

```

lemma lookup-wtm:
 $\llbracket \Gamma \vdash ms :: ss\ in\ ObjT\ ss; lookup\ ms\ l = Some\ (Method\ l\ b) \rrbracket \implies \exists\ \tau.\ \Gamma \vdash (Method\ l\ b) : (Sig\ l\ \tau)\ in\ ObjT\ ss$ 
using lookup-wtm-impl by blast

```

```

inductive-cases wtm-inv:
 $\Gamma \vdash (Method\ l\ b) : (Sig\ l\ \tau)\ in\ ObjT\ ss$ 

```

```

lemma replace-wt-impl:
 $(\Gamma \vdash e' : \tau' \longrightarrow True) \wedge (\Gamma \vdash m : s\ in\ A \longrightarrow mname\ m = ms-name\ s) \wedge (\Gamma \vdash ms :: ss\ in\ \tau \longrightarrow (\forall\ msigs\ m\ \sigma.\ \Gamma \vdash m : \sigma\ in\ \tau \wedge lookup-sig\ ss\ (mname\ m) = Some\ \sigma \longrightarrow \Gamma \vdash replace\ ms\ m :: ss\ in\ \tau))$ 
apply (induct rule: wte-wtm-wtms.induct)

```

```

apply simp apply simp apply simp apply simp apply simp apply simp
apply simp apply simp apply simp
apply (simp add: mname-def ms-name-def)
apply simp
apply clarify
  apply (case-tac mname m = mname ma)
    apply simp
    apply (rule wt-cons)
      apply (simp add: mname-def ms-name-def)
      apply (erule-tac x=m in allE)
        apply blast
      apply simp
    apply (rule wt-cons)
      apply simp
      apply (erule-tac x=ma in allE)
        apply simp
done

lemma replace-wt:
   $\llbracket \Gamma \vdash ms :: ss \text{ in } \tau; \Gamma \vdash m : s \text{ in } \tau; \text{lookup-sig } ss \ (mname\ m) = \text{Some } s \rrbracket$ 
   $\implies \Gamma \vdash \text{replace } ms\ m :: ss \text{ in } \tau$ 
  using replace-wt-impl by simp

lemma method-sig-name:
   $\Gamma \vdash m : s \text{ in } A \implies mname\ m = ms\text{-name}\ s$ 
  using replace-wt-impl by simp

lemma lookup-name-result[rule-format]:
   $\forall l\ \sigma. \text{lookup-sig } msigs\ l = \text{Some } s \longrightarrow ms\text{-name}\ s = l$ 
  apply (induct msigs)
  apply force apply force apply force apply force apply force
  apply force apply force apply force apply (simp add: ms-name-def)
done

lemma sub-obj-left-inv:
   $\text{ObjT } ss <: \tau \implies \exists tt. \tau = \text{ObjT } tt \wedge ss <: tt$ 
  apply (cases rule: subtype.cases) by auto

lemma lookup-sub-impl:
   $(\sigma <: \tau \longrightarrow \text{True})$ 
   $\wedge (s \preceq t \longrightarrow \text{True})$ 
   $\wedge (ss <: msigs \longrightarrow (\forall l\ s. \text{lookup-sig } msigs\ l = \text{Some } s \longrightarrow \text{lookup-sig } ss\ l = \text{Some } s))$ 
  apply (induct rule: subtype-subtype-sig-subtype-sigs.induct)
  apply force apply force apply force apply force apply force
  apply force apply force apply force
  apply clarify
    apply (cases rule: subtype-sig.cases) apply simp
    apply simp apply force
  apply clarify

```

```

apply (erule-tac x=l in allE) apply (erule-tac x=sa in allE)
apply clarify
sorry

lemma lookup-sub:
  [ ss <: tt; lookup-sig tt l = Some s ] ==> lookup-sig ss l = Some s
  using lookup-sub-impl by blast

lemma method-subsumption-impl:
  ( $\Gamma \vdash e : \sigma \longrightarrow \text{True}$ )
   $\wedge (\Gamma \vdash m : s \text{ in } \sigma \longrightarrow \tau <: \sigma \longrightarrow \Gamma \vdash m : s \text{ in } \tau)$ 
   $\wedge (\Gamma \vdash ms :: ss \text{ in } \sigma \longrightarrow \tau <: \sigma \longrightarrow \Gamma \vdash ms :: ss \text{ in } \tau)$ 
  apply (induct rule: wte-wtm-wtms.induct)
  apply simp-all
  apply clarify
  apply (frule sub-obj-right-inv) apply (erule exE) apply clarify
  apply (rule wt-mtd)
  apply (rule wte-sub) apply simp apply force
  using lookup-sub apply blast
  apply clarify apply (rule wt-nil)
  apply clarify
  apply (rule wt-cons)
  apply simp
  apply simp
done

lemma method-subsumption:
  [  $\Gamma \vdash m : s \text{ in } \sigma; \tau <: \sigma$  ] ==>  $\Gamma \vdash m : s \text{ in } \tau$ 
  using method-subsumption-impl by blast

lemma methods-subsumption:
  [  $\Gamma \vdash ms :: ss \text{ in } \sigma; \tau <: \sigma$  ] ==>  $\Gamma \vdash ms :: ss \text{ in } \tau$ 
  using method-subsumption-impl by blast

lemma lookup-sig-implies-lookup-impl:
  ( $\Gamma \vdash e : \tau \longrightarrow \text{True}$ )
   $\wedge (\Gamma \vdash m : s \text{ in } A \longrightarrow \text{mname } m = \text{ms-name } s)$ 
   $\wedge (\Gamma \vdash ms :: ss \text{ in } A \longrightarrow \text{lookup-sig } ss l = \text{Some } (\text{Sig } l \tau)$ 
   $\longrightarrow (\exists b. \text{lookup } ms l = \text{Some } (\text{Method } l b)))$ 
  apply (induct rule: wte-wtm-wtms.induct)
  apply simp apply simp apply simp apply simp apply simp apply simp apply
  apply simp
  apply simp apply simp apply (simp add: mname-def ms-name-def) apply simp
  apply clarify
    apply (case-tac m)
    apply (case-tac s)
    apply (case-tac l = nat)
      apply (simp add: mname-def ms-name-def)
    apply (erule impE)
    apply (simp add: mname-def ms-name-def)

```

```

apply (erule exE)
apply (simp add: mname-def ms-name-def)
done

lemma lookup-sig-implies-lookup:
  [|  $\Gamma \vdash ms :: ss \text{ in } A; \text{lookup-sig } ss l = \text{Some } (\text{Sig } l \tau)$  |]
     $\Rightarrow (\exists b. \text{lookup } ms l = \text{Some } (\text{Method } l b))$ 
  using lookup-sig-implies-lookup-impl by blast

9.5 Subject Reduction

inductive-cases app-reduce: App e1 e2 --> e'
inductive-cases cast-reduce: e⟨σ⇒τ⟩ --> e'

lemma subject-reduction-impl:
  ( $\Gamma \vdash e : \tau \rightarrow \Gamma = \text{empty} \wedge e \rightarrow e' \rightarrow \text{empty} \vdash e' : \tau$ )
   $\wedge (\Gamma \vdash m : \sigma \text{ in } A \rightarrow \text{True})$ 
   $\wedge (\Gamma \vdash ms :: \sigma s \text{ in } A' \rightarrow \text{True})$ 
apply (induct rule: wte-wtm-wtms.induct)
apply simp-all
apply force
apply clarify apply (cases rule: reduces.cases) apply simp+
apply clarify apply (cases rule: reduces.cases) apply simp+
apply clarify defer
apply clarify apply simp apply (rule wte-sub) apply simp apply simp
apply clarify defer
apply clarify defer
apply clarify defer
apply clarify apply (cases rule: reduces.cases) apply simp+
proof -
  — Beta
fix Γ::env and σ τ e1 e2
assume wte1: empty ⊢ e1 : σ → τ
  and wte2: empty ⊢ e2 : σ
  and red: App e1 e2 --> e'
from red show empty ⊢ e' : τ
proof (rule app-reduce)
  fix τ'' b assume vv: Values e2 and ep: e' = {0→e2}b and e1: e1 = λ:τ''. b
  from wte1 e1 have wte1b: empty ⊢ (λ:τ''. b) : σ → τ by simp
  from wte1b obtain L
    where st: σ <: τ''
      and fL: finite L
      and wtb: ∀ x. x ∉ L → [x ↦ τ''] ⊢ {0→FVar x}b : τ
    using wte-lambda-inv[of empty (λ:τ''. b) σ → τ] by blast
  let ?X = Suc (max (setmax L) (setmax (FV b)))
  have xgel: setmax L < ?X by auto
  have xgeb: setmax (FV b) < ?X by auto
  — Set up for and apply the substitution lemma
  from fL xgel have xL: ?X ∉ L by (rule greaterthan-max-is-fresh)

```

```

with wtb have wtb2: [ $?X \mapsto \tau'$ ]  $\vdash \{0 \rightarrow FVar ?X\}b : \tau$  by blast
have gxs: [ $?X \mapsto \tau'$ ]  $?X = Some \tau''$  by simp
have fg: finite-env [ $?X \mapsto \tau'$ ] using finite-env-def by simp
have fgp: finite-env empty using finite-env-def by simp
have gxgp: [ $?X \mapsto \tau'$ ]  $- ?X \subset empty$  by (simp add: remove-bind-def)
from wte2 st have wte2b: empty  $\vdash e2 : \tau''$  by (rule wte-sub)
from wtb2 gxs fg fgp gxgp wte2b
have wtb: empty  $\vdash [?X \rightarrow e2](\{0 \rightarrow FVar ?X\}b) : \tau$ 
  using substitution by blast

— Use the substitution decomposition lemma
have finb: finite (FV b) by (rule finite-FV)
from finb xgeb have xb:  $?X \notin FV b$  by (rule greaterthan-max-is-fresh)
from xb have  $\{0 \rightarrow e2\}b = [?X \rightarrow e2](\{0 \rightarrow FVar ?X\}b)$ 
  by (rule decompose-subst)
with wtb ep show empty  $\vdash e' : \tau$  by simp
next — Delta
fix c assume d:  $\delta c e2 = Some e'$ 
  and ve2: Values e2
  and e1:  $e1 = (Const c)$ 
from wte1 e1 obtain  $\sigma' \tau'$  where tc:  $TypeOf c = \sigma' \rightarrow \tau'$ 
  and ss:  $\sigma <: \sigma'$  and tt:  $\tau' <: \tau$ 
  apply simp using wte-const-inv by blast
from wte2 ss have wte2b: empty  $\vdash e2 : \sigma'$  by (rule wte-sub)

from tc wte2b ve2 obtain v'' where dd:  $\delta c e2 = Some v''$ 
  and wtvp: empty  $\vdash v'' : \tau'$  using delta-typability by blast
from d dd wtvp have wtep: empty  $\vdash e' : \tau'$  by simp
from wtep tt show empty  $\vdash e' : \tau$  by (rule wte-sub)
next — ApCst
fix  $\nu \varrho \sigma_1 \sigma' \tau_1 f$ 
let ?arg = mcast e2  $\varrho \sigma_1$ 
assume ep:  $e' = mcast (App f ?arg) \tau_1 \nu$ 
  and e1:  $e1 = f \langle \sigma_1 \rightarrow \tau_1 \Rightarrow \varrho \rightarrow \nu \rangle$ 

from wte1 e1 have wte1a: empty  $\vdash f \langle \sigma_1 \rightarrow \tau_1 \Rightarrow \varrho \rightarrow \nu \rangle : \sigma \rightarrow \tau$  by simp
from wte1a have rnst:  $\varrho \rightarrow \nu <: \sigma \rightarrow \tau$ 
  and wtfn: empty  $\vdash f : \sigma_1 \rightarrow \tau_1$ 
  and s1t1rv:  $\sigma_1 \rightarrow \tau_1 \sim \varrho \rightarrow \nu$ 
  and s1t1rvne:  $\sigma_1 \rightarrow \tau_1 \neq \varrho \rightarrow \nu$ 
  using wte-cast-inv[empty f  $\sigma_1 \rightarrow \tau_1 \varrho \rightarrow \nu$ ] by auto
from rnst have sr:  $\sigma <: \varrho$  by auto
from rnst have vt:  $\nu <: \tau$  by auto

from wte2 sr have e2b: empty  $\vdash e2 : \varrho$  by (rule wte-sub)
from e2b s1t1rv s1t1rvne have wtce2: empty  $\vdash ?arg : \sigma_1$ 
  apply (simp add: mcast-def)
  apply (case-tac  $\varrho = \sigma_1$ )
  apply auto apply (rule wte-cast) apply auto
  using consistent-symmetric apply force

```

```

apply (rule wte-cast) apply auto using consistent-symmetric apply blast
done

from wtf wtce2 have wtap: empty ⊢ App f ?arg : τ1 by (rule wte-app)
from s1t1rv have t1n: τ1 ~ ν by auto
from wtap t1n have empty ⊢ mcast (App f ?arg) τ1 ν : ν
  apply (simp add: mcast-def)
  apply (case-tac τ1 = ν)
  apply auto apply (rule wte-cast)
  apply auto apply (rule wte-cast) apply auto
done
with ep vt show empty ⊢ e' : τ apply simp apply (rule wte-sub) by auto
qed
next — Cast
fix Γ σ τ e
assume wte: empty ⊢ e : σ and IH: empty = empty ∧ e --> e' --> empty ⊢ e' : σ
and st: σ ~ τ and nst: σ ≠ τ
and red: e⟨σ⇒τ⟩ --> e'
from red show empty ⊢ e' : τ
proof (rule cast-reduce)
fix ρ σ' v
assume rtstr: ρ ⪻ τ
and nrt: ρ ≠ τ and ep: e' = mcast v ρ (ρ ⊢ τ)
and e: e = v⟨ρ⇒σ'⟩
from wte e have wtcv: empty ⊢ v⟨ρ⇒σ'⟩ : σ by simp
from wtcv have ss: σ' <: σ
and rsp: ρ ~ σ'
and nrsp: ρ ≠ σ'
and wtv: empty ⊢ v : ρ
using wte-cast-inv[of empty v ρ σ' σ] by auto

have rrt: ρ ~ ρ ⊢ τ by (rule consistent-merge)
from wtv rrt have wtcv: empty ⊢ mcast v ρ (ρ ⊢ τ) : ρ ⊢ τ
  apply (simp add: mcast-def)
  apply (case-tac ρ = ρ ⊢ τ)
  apply simp apply clarify
  apply (rule wte-cast) apply auto
done
from rtstr have rtt2: ρ ⊢ τ <: τ
  using restrict-sub-merge by blast
from wtcv rtt2 have wtcv2: empty ⊢ mcast v ρ (ρ ⊢ τ) : τ by (rule wte-sub)
  with ep show empty ⊢ e' : τ by simp
next
fix σ assume e: e = e'⟨τ⇒σ⟩
from wte e show empty ⊢ e' : τ
  using wte-cast-inv by blast
qed
next — Sel
fix Γ τ e l ss

```

```

assume wte: empty ⊢ e : ObjT ss
  and X: empty = empty ∧ e → e' → empty ⊢ e' : ObjT ss
  and lm: lookup-sig ss l = Some (Sig l τ)
  and red: Invoke e l → e'
from red show empty ⊢ e' : τ
  apply (cases rule: reduces.cases)
  apply simp apply simp defer apply simp apply simp defer apply simp apply
simp apply simp
proof —
  fix τ' b l' ms'
  assume a: (Invoke e l, e') = (Invoke (Obj ms' τ') l', App b (Obj ms' τ'))
  and lm2: lookup ms' l' = Some (Method l' b)
from wte a have wto: empty ⊢ Obj ms' τ' : ObjT ss by simp
from wto obtain tt where t: τ' = ObjT tt and ttss: tt <:: ss
  and wtms: empty ⊢ ms' :: tt in ObjT tt
  using wte-obj-inv by blast

from wtms lm2 obtain τ' where
  wtm: empty ⊢ (Method l' b) : (Sig l' τ') in ObjT tt
  using lookup-wtm by force
from wtm have wtb: empty ⊢ b : ObjT tt → τ'
  using wtm-inv[of empty l' b τ' tt] by blast
from wtm have lm2: lookup-sig tt l' = Some (Sig l' τ')
  using wtm-inv[of empty l' b τ' tt] by blast

from wtms have wto2: empty ⊢ Obj ms' (ObjT tt) : ObjT tt by (rule wte-obj)
  from wtb wto2 have wta: empty ⊢ App b (Obj ms' (ObjT tt)) : τ' by (rule
wte-app)

from a lm lm2 ttss have τ = τ'
  apply simp using lookup-sub by simp
  with wta a t show empty ⊢ e' : τ by simp
next — SelCst
  fix σ' τ' obj l' ss tt
  assume a: (Invoke e l, e') = (Invoke (obj(ObjT ss⇒ObjT tt)) l', mcast (Invoke
obj l') σ' τ')
  and lookup-sig ss l' = Some (Sig l' σ')
  and lookup-sig tt l' = Some (Sig l' τ')
  show empty ⊢ e' : τ sorry
qed
next — Upd
  fix Γ e l m s ss
  assume wte: empty ⊢ e : ObjT ss
  and wtm: empty ⊢ m : s in ObjT ss
  and lm: lookup-sig ss l = Some s
  and red: (Update e m) → e'
  and ¬ (empty = empty ∧ e → e')
from red show empty ⊢ e' : ObjT ss
  apply (cases rule: reduces.cases)
  apply simp apply simp apply simp defer apply simp apply simp defer apply
simp apply simp

```

```

simp apply simp
proof -
  fix  $\tau' m' ms$ 
  assume  $a: (Update e m, e') = (Update (Obj ms \tau') m', Obj (replace ms m') \tau')$ 
  from wte a have wto: empty  $\vdash Obj ms \tau': ObjT ss$  by simp
  from lm have snl: ms-name s = l by (rule lookup-name-result)
  from wtm have mnsn: mname m = ms-name s by (rule method-sig-name)
  from snl mnsn lm have lm2: lookup-sig ss (mname m) = Some s by simp
  from wto obtain tt where t:  $\tau' = ObjT tt$  and ttss: tt  $<: ss$ 
    and wtms: empty  $\vdash ms :: tt$  in ObjT tt
    using wte-obj-inv by blast
  from ttss have osom: ObjT tt  $<: ObjT ss$  by auto
  from wtm osom have wtm2: empty  $\vdash m : s$  in ObjT tt by (rule method-subsumption)
  from lm2 ttss have lm3: lookup-sig tt (mname m) = Some s
    using lookup-sub by simp
  from wtms wtm2 lm3 have empty  $\vdash replace ms m :: tt$  in ObjT tt
    by (rule replace-wt)
  hence wto2: empty  $\vdash Obj (replace ms m) (ObjT tt) : ObjT tt$  by (rule wte-obj)
    from wto2 osom have empty  $\vdash Obj (replace ms m) (ObjT tt) : ObjT ss$  by (rule
      wte-sub)
    with a t show ?thesis by simp
next — UpdCst
  fix  $\sigma' \tau' b obj l m' ss' tt$ 
  assume  $(Update e m, e') = (Update (obj (ObjT ss' \Rightarrow ObjT tt)) (Method l b),$ 
 $(Update obj m') (ObjT ss' \Rightarrow ObjT tt))$ 
    and lookup-sig ss' l = Some (Sig l  $\sigma'$ )
    and lookup-sig tt l = Some (Sig l  $\tau'$ )
    and  $m' = Method l (b (ObjT tt \rightarrow \tau' \Rightarrow ObjT ss' \rightarrow \sigma'))$ 
  show empty  $\vdash e' : ObjT ss$  sorry
qed
qed

```

lemma subject-reduction:
assumes wte: $\Gamma \vdash e : \tau$ **and** g: $\Gamma = empty$ **and** red: $e \rightarrow e'$
shows empty $\vdash e' : \tau'$
using wte g red subject-reduction-impl by simp

9.6 The Decomposition Lemma

```

consts welltyped-ctx :: (env × ctx × ty × ty) set
syntax welltyped-ctx :: env  $\Rightarrow$  ctx  $\Rightarrow$  ty  $\Rightarrow$  ty  $\Rightarrow$  bool (-  $\vdash$  - : -  $\Rightarrow$  - [52,52,52,52] 51)
translations  $\Gamma \vdash E : \sigma \Rightarrow \tau$  ==  $(\Gamma, E, \sigma, \tau) \in$  welltyped-ctx
inductive welltyped-ctx intros
  WTHole:  $\Gamma \vdash Hole : \tau \Rightarrow \tau$ 
  WTAppL:  $\llbracket \Gamma \vdash E : \sigma \Rightarrow (\varrho \rightarrow \tau); \Gamma \vdash e : \varrho \rrbracket \implies \Gamma \vdash AppL E e : \sigma \Rightarrow \tau$ 
  WTAppR:  $\llbracket \Gamma \vdash e : \varrho \rightarrow \tau; \Gamma \vdash E : \sigma \Rightarrow \varrho \rrbracket \implies \Gamma \vdash AppR e E : \sigma \Rightarrow \tau$ 
  WTCSub:  $\llbracket \Gamma \vdash E : \sigma \Rightarrow \varrho; \varrho <: \varrho' \rrbracket \implies \Gamma \vdash E : \sigma \Rightarrow \varrho'$ 

```

```

WTCSel: [Γ ⊢ E : σ ⇒ ObjT ss; lookup-sig ss l = Some (Sig l τ) ]
    ==> Γ ⊢ InvokeC E l : σ ⇒ τ
WTCUpd: [Γ ⊢ E : σ ⇒ ObjT ss;
           Γ ⊢ m : s in ObjT ss;
           lookup-sig ss l = Some s ]
    ==> Γ ⊢ UpdateC E m : σ ⇒ ObjT ss
WTCCast: [Γ ⊢ E : σ ⇒ ρ; ρ ~ ρ'; ρ ≠ ρ' ]
    ==> Γ ⊢ CastC E ρ ρ' : σ ⇒ ρ'

```

```

constdefs bad-cast :: expr ⇒ bool
  bad-cast e ≡ (exists v ρ σ σ' τ. e = (v⟨ρ⇒σ⟩)⟨σ'⇒τ⟩ ∧ SimpleValues v
    ∧ ¬(ρ ≈ τ))

lemma welltyped-decomposition-impl:
  (Γ ⊢ e : τ —>
   Γ = empty —> Values e
   ∨ (exists σ E r. e = E[r] ∧ Γ ⊢ E : σ ⇒ τ ∧ E ∈ wf-ctx
     ∧ Γ ⊢ r : σ ∧ (redex r ∨ bad-cast r)))
   ∧ (Γ ⊢ m : s in A —> True)
   ∧ (Γ ⊢ ms :: ss in A' —> True)
  apply (induct rule: wte-wtm-wtms.induct)
  apply simp apply simp apply simp
  apply (rule impI) defer
  apply (rule impI) apply (case-tac Values e)
    apply simp
    apply (erule impE) apply simp
    apply (erule disjE) apply simp
    apply (erule exE)+ apply simp
    apply (rule-tac x=σ' in exI)
    apply (rule-tac x=E in exI)
    apply (rule-tac x=r in exI)
    apply simp
    apply clarify apply (rule WTCSub) apply simp apply simp
    apply (rule impI) apply (case-tac Values e)
      apply (erule impE) apply simp
      apply simp apply (case-tac e) apply simp apply simp apply simp
      apply simp apply simp apply simp
      apply (rule-tac x=τ in exI)
      apply (rule-tac x=Hole in exI)
      apply (rule-tac x=Cast (Cast expr ty1 ty2) σ τ in exI)
      apply simp apply (rule conjI)
      apply (rule WTHole) apply (rule conjI) apply (rule WFHole)
      apply (rule conjI) apply (rule wte-cast) apply simp apply simp apply simp
      apply (simp add: redex-def)
      apply (case-tac ty1 = τ) using Remove apply blast
      apply (case-tac (ty1 ≈ τ ∧ ty1 ≠ τ))
      using Merge apply blast
      apply (simp add: bad-cast-def)

```

```

apply simp apply simp apply simp apply simp
apply (erule exE)+ apply (erule conjE)+
apply (rule disjI2) apply clarify
apply (rule-tac x=σ' in exI)
apply (rule-tac x=CastC E σ τ in exI)
apply (rule-tac x=r in exI)
apply simp apply (rule conjI) apply (rule WTCCast) apply simp apply simp
apply simp apply (rule WFCastC) apply simp
apply (rule impI) defer
apply (rule impI) defer
apply simp
apply simp
apply simp
apply simp

proof -
fix Γ σ τ e1 e2
assume wte1: Γ ⊢ e1 : σ → τ
and IH1: Γ = empty —
  Values e1 ∨
  (exists σ' E r.
    e1 = E[r] ∧
    Γ ⊢ E : σ' ⇒ σ → τ ∧ E ∈ wf-ctx ∧ Γ ⊢ r : σ' ∧ (redex r ∨ bad-cast r))
and wte2: Γ ⊢ e2 : σ
and IH2: Γ = empty —
  Values e2 ∨
  (exists σ' E r.
    e2 = E[r] ∧ Γ ⊢ E : σ' ⇒ σ ∧ E ∈ wf-ctx ∧ Γ ⊢ r : σ' ∧ (redex r ∨ bad-cast
r))
and g: Γ = empty
show Values (App e1 e2) ∨
  (exists σ E r. App e1 e2 = E[r] ∧ Γ ⊢ E : σ ⇒ τ ∧ E ∈ wf-ctx ∧ Γ ⊢ r : σ ∧
(redex r ∨ bad-cast r))
proof (cases Values e1)
assume ve1: Values e1
show ?thesis
proof (cases Values e2)
assume ve2: Values e2
have h: App e1 e2 = Hole[App e1 e2] by simp
have wth: empty ⊢ Hole : τ ⇒ τ by (rule WTHole)
from wte1 wte2 g have wta: empty ⊢ App e1 e2 : τ apply simp by (rule
wte-app)

from wte1 ve1 g have (exists σ' e. e1 = λ:σ'. e) ∨ (exists c. e1 = Const c)
  ∨ (exists σ' τ' v' ρ ν. e1 = v'⟨σ' ⇒ τ' ⇒ ρ ⇒ ν⟩)
apply simp apply (rule canonical-form-fun) by auto
moreover { assume x: exists σ' e. e1 = λ:σ'. e
  — Beta
from x obtain σ' b where e1 = λ:σ'. b by blast
from e1 ve2 have App e1 e2 — {0 → e2}b apply simp by (rule Beta)
hence r: redex (App e1 e2) using redex-def by blast

```

```

have wfh: Hole ∈ wf-ctx by (rule WFHole)
from h wth wfh wta r g have ?thesis by blast
} moreover { assume x: ∃ c. e1 = Const c
— Delta
from x obtain c where e1: e1 = Const c by blast
from wte1 e1 obtain σ' τ' where tc: TypeOf c = σ' → τ'
and ss: σ <: σ' and tt: τ' <: τ
apply simp using wte-const-inv by blast
from wte2 have wte2b: Γ ⊢ e2 : σ' by (rule wte-sub)
from tc wte2b ve2 g obtain v'' where dd: δ c e2 = Some v''
using delta-typability by blast
from dd ve2 e1 have App e1 e2 —> v'' apply simp by (rule Delta)
hence r: redux (App e1 e2) using redux-def by blast
have wfh: Hole ∈ wf-ctx by (rule WFHole)
with h wth wfh wta r g have ?thesis by blast
} moreover { assume x: (∃ σ' τ' v ρ ν. e1 = v(σ' → τ' ⇒ ρ → ν))
— ApCst
from x obtain σ' τ' f ρ ν where e1: e1 = f⟨σ' → τ' ⇒ ρ → ν⟩ by blast
from ve1 e1 have sf: SimpleValues f by simp
from e1 sf ve2 have App e1 e2 —> mcast (App f (mcast e2 ρ σ')) τ' ν
apply simp by (rule ApCst)
hence r: redux (App e1 e2) using redux-def by blast
have wfh: Hole ∈ wf-ctx by (rule WFHole)
from h wth wfh wta r g have ?thesis by blast
} ultimately show ?thesis by blast
next
assume ve2: ¬ Values e2
from ve2 IH2 g obtain σ' E r where e2: e2 = E[r]
and wtE: Γ ⊢ E : σ' ⇒ σ and wfe: E ∈ wf-ctx
and wtr: Γ ⊢ r : σ' and rr: (redux r ∨ bad-cast r)
by blast
from e2 have App e1 e2 = (AppR e1 E)[r] by simp
moreover from wte1 wtE g have empty ⊢ AppR e1 E : σ' ⇒ τ
apply simp apply (rule WTAppR) apply auto done
moreover from ve1 wfe have AppR e1 E ∈ wf-ctx by (rule WFAppR)
moreover note wtr rr g
ultimately show ?thesis by blast
qed
next
assume ve1: ¬ Values e1
from ve1 IH1 g obtain σ' E r where e1: e1 = E[r]
and wtE: Γ ⊢ E : σ' ⇒ σ → τ and wfe: E ∈ wf-ctx and wtr: Γ ⊢ r : σ' and rr:
(redex r ∨ bad-cast r)
by blast
from e1 have App e1 e2 = (AppL E e2)[r] by simp
moreover from wtE wte2 g have empty ⊢ AppL E e2 : σ' ⇒ τ
apply simp apply (rule WTAppL) apply auto done
moreover from wfe have AppL E e2 ∈ wf-ctx by (rule WFAppL)
moreover note wtr rr g
ultimately show ?thesis by blast

```

```

qed
next
fix  $\Gamma \tau e l msigs$ 
assume  $wte: \Gamma \vdash e : ObjT msigs$ 
and  $IH: \Gamma = empty \longrightarrow$ 
   $Values e \vee$ 
   $(\exists \sigma E r.$ 
     $e = E[r] \wedge$ 
     $\Gamma \vdash E : \sigma \Rightarrow ObjT msigs \wedge E \in wf\_ctx \wedge \Gamma \vdash r : \sigma \wedge (redex r \vee bad\_cast r))$ 
  and  $lm: lookup\_sig msigs l = Some (Sig l \tau)$ 
  and  $g: \Gamma = empty$ 
show  $Values (Invoke e l) \vee$ 
   $(\exists \sigma E r.$ 
     $Invoke e l = E[r] \wedge \Gamma \vdash E : \sigma \Rightarrow \tau \wedge E \in wf\_ctx \wedge \Gamma \vdash r : \sigma \wedge (redex r \vee$ 
     $bad\_cast r))$ 
proof (cases  $Values e$ )
  assume  $ve: Values e$ 
  have  $a: Invoke e l = Hole[Invoke e l] \text{ by } simp$ 
  have  $wth: \Gamma \vdash Hole : \tau \Rightarrow \tau \text{ by } (rule\ WTHole)$ 
  have  $wfh: Hole \in wf\_ctx \text{ by } (rule\ WFHole)$ 
  from  $wte lm$  have  $wtr: \Gamma \vdash Invoke e l : \tau \text{ by } (rule\ wte\_sel)$ 
  from  $wte ve g$  have  $x: (\exists ms \tau. e = Obj ms \tau) \vee (\exists ms \tau rr tt. e = (Obj ms \tau) \langle ObjT rr \Rightarrow ObjT tt \rangle)$ 
    apply  $simp$  apply (rule canonical-form-obj) by auto
  moreover { assume  $x: \exists ms \tau. e = Obj ms \tau$ 
    from  $x$  obtain  $ms \tau'$  where  $e: e = Obj ms \tau' \text{ by blast}$ 
    from  $wte e$  have  $wto: \Gamma \vdash Obj ms \tau' : ObjT msigs \text{ by } simp$ 
    from  $wto$  obtain  $tt$  where  $tp: \tau' = ObjT tt \text{ and } ssm: tt <:: msigs$ 
      and  $wtms: \Gamma \vdash ms :: tt \text{ in } ObjT tt \text{ using } wte\_obj\_inv \text{ by blast}$ 
    from  $ssm lm$  have  $lm2: lookup\_sig tt l = Some (Sig l \tau)$ 
      using  $lookup\_sub$  by blast
    from  $wtms lm2$  have  $x: \exists b. lookup ms l = Some (Method l b)$ 
      by (rule  $lookup\_sig\_implies\_lookup$ )
    from  $x$  obtain  $b$  where  $lmb: lookup ms l = Some (Method l b) \text{ by blast}$ 
    from  $lmb$  have  $red: Invoke (Obj ms \tau') l \dashrightarrow App b (Obj ms \tau') \text{ by } (rule\ Sel)$ 
    from  $red e$  have  $r: redex (Invoke e l) \text{ using } redex\_def \text{ by blast}$ 
    from  $a wth wfh wtr r$  have  $?thesis \text{ by blast}$ 
  } moreover { assume  $x: \exists ms \tau rr tt. e = (Obj ms \tau) \langle ObjT rr \Rightarrow ObjT tt \rangle$ 
    have  $?thesis \text{ sorry}$ 
  } ultimately show  $?thesis \text{ by blast}$ 
next
assume  $ve: \neg Values e$ 
from  $ve IH g$  obtain  $\sigma' E r$  where  $e: e = E[r]$ 
  and  $wtE: \Gamma \vdash E : \sigma' \Rightarrow ObjT msigs$  and  $wfE: E \in wf\_ctx$ 
  and  $wtr: \Gamma \vdash r : \sigma' \text{ and } rr: (redex r \vee bad\_cast r) \text{ by blast}$ 
from  $e$  have  $Invoke e l = (InvokeC E l)[r] \text{ by } simp$ 
moreover from  $wtE g lm$  have  $empty \vdash InvokeC E l : \sigma' \Rightarrow \tau$ 
  apply  $simp$  apply (rule  $WTCSel$ ) apply auto done
moreover from  $wfE$  have  $InvokeC E l \in wf\_ctx \text{ by } (rule\ WFInvoke)$ 
moreover note  $wtr rr g$ 

```

```

ultimately show ?thesis by blast
qed
next
fix  $\Gamma \sigma e l m msigs$ 
assume  $wte: \Gamma \vdash e : ObjT msigs$ 
and  $IH: \Gamma = empty \longrightarrow$ 
     $Values e \vee$ 
     $(\exists \sigma E r.$ 
         $e = E[r] \wedge$ 
         $\Gamma \vdash E : \sigma \Rightarrow ObjT msigs \wedge E \in wf\_ctx \wedge \Gamma \vdash r : \sigma \wedge (redex r \vee bad\_cast r))$ 
and  $wtm: \Gamma \vdash m : \sigma$  in  $ObjT msigs$ 
and  $lm: lookup\_sig msigs l = Some \sigma$ 
and  $g: \Gamma = empty$ 
show  $Values (Update e m) \vee$ 
     $(\exists \sigma E r. (Update e m) = E[r] \wedge$ 
         $\Gamma \vdash E : \sigma \Rightarrow ObjT msigs \wedge E \in wf\_ctx \wedge \Gamma \vdash r : \sigma \wedge (redex r \vee bad\_cast r))$ 
proof (cases  $Values e$ )
assume  $ve: Values e$ 
have  $a: Update e m = Hole[Update e m]$  by simp
have  $wth: \Gamma \vdash Hole : ObjT msigs \Rightarrow ObjT msigs$  by (rule WTHole)
have  $wfh: Hole \in wf\_ctx$  by (rule WFHole)
from  $wte wtm lm$  have  $wtr: \Gamma \vdash Update e m : ObjT msigs$  by (rule wte-upd)
from  $wte ve g$  have  $x: (\exists ms \tau. e = Obj ms \tau) \vee (\exists ms \tau rr tt. e = (Obj ms \tau)(ObjT rr \Rightarrow ObjT tt))$ 
apply simp apply (rule canonical-form-obj) by auto
moreover { assume  $x: \exists ms \tau. e = Obj ms \tau$ 
from  $x$  obtain  $ms \tau'$  where  $e = Obj ms \tau'$  by blast
from  $e$  have  $red: Update e m \longrightarrow Obj$  (replace  $ms m$ )  $\tau'$  apply simp by (rule Upd)
from  $red e$  have  $r: redex (Update e m)$  using redex-def by blast
from  $a wth wfh wtr r$  have ?thesis by blast
} moreover { assume  $x: \exists ms \tau rr tt. e = (Obj ms \tau)(ObjT rr \Rightarrow ObjT tt)$ 
have ?thesis sorry
} ultimately show ?thesis by blast
next
assume  $ve: \neg Values e$ 
from  $ve IH g$  obtain  $\sigma' E r$  where  $e: e = E[r]$ 
and  $wtE: \Gamma \vdash E : \sigma' \Rightarrow ObjT msigs$  and  $wfE: E \in wf\_ctx$ 
and  $wtr: \Gamma \vdash r : \sigma'$  and  $rr: (redex r \vee bad\_cast r)$  by blast
from  $e$  have  $Update e m = (UpdateC E m)[r]$  by simp
moreover from  $wtE wtm g lm$  have  $empty \vdash UpdateC E m : \sigma' \Rightarrow ObjT msigs$ 
apply simp apply (rule WTCUpd) apply auto done
moreover from  $wfE$  have  $UpdateC E m \in wf\_ctx$  by (rule WFUpdate)
moreover note  $wtr rr g$ 
ultimately show ?thesis by blast
qed
qed

```

lemma welltyped-decomposition:
 $empty \vdash e : \tau \Longrightarrow Values e$

```

 $\vee (\exists \sigma E r. e = E[r] \wedge \text{empty} \vdash E : \sigma \Rightarrow \tau \wedge E \in \text{wf-ctx}$ 
 $\wedge \text{empty} \vdash r : \sigma \wedge (\text{redex } r \vee \text{bad-cast } r))$ 
using welltyped-decomposition-impl apply blast done

```

9.7 Subterm Typing

```

lemma subterm-typing-impl:
 $(\Gamma \vdash e : \tau \longrightarrow (\forall E r. e = E[r] \longrightarrow (\exists \sigma. \Gamma \vdash E : \sigma \Rightarrow \tau \wedge \Gamma \vdash r : \sigma)))$ 
 $\wedge (\Gamma \vdash m : s \text{ in } A \longrightarrow \text{True})$ 
 $\wedge (\Gamma \vdash ms :: ss \text{ in } A' \longrightarrow \text{True})$ 
apply (induct rule: wte-wtm-wtms.induct)
apply clarify
  apply (rule-tac  $x=\tau$  in exI)
  apply (case-tac E)
  using wte-var WTHole apply force
  apply simp apply simp apply simp apply simp apply simp
apply clarify
  apply (rule-tac  $x=\text{TypeOf } c$  in exI)
  apply (case-tac E) using wte-const WTHole apply force
  apply simp apply simp apply simp apply simp apply simp
apply clarify
  apply (case-tac E)
  apply (rule-tac  $x=\sigma \rightarrow \tau$  in exI)
  apply simp using wte-abs WTHole apply force
  apply simp apply simp apply simp apply simp apply simp
apply clarify
  apply (case-tac E)
  apply (rule-tac  $x=\tau$  in exI) using wte-app WTHole apply force
  apply (erule-tac  $x=\text{ctx}$  in allE)
  apply (erule-tac  $x=\text{ctx}$  in allE)
  apply (erule-tac  $x=r$  in allE)
  apply (erule-tac  $x=r$  in allE)
  apply simp using WTAppL apply blast
  apply (erule-tac  $x=\text{ctx}$  in allE)
  apply (erule-tac  $x=\text{ctx}$  in allE)
  apply (erule-tac  $x=r$  in allE)
  apply (erule-tac  $x=r$  in allE)
  apply simp using WTAppR apply blast apply simp apply simp apply simp
apply clarify
  apply (erule-tac  $x=E$  in allE)
  apply (erule-tac  $x=r$  in allE)
  apply simp
  apply (erule exE) apply clarify
  apply (rule-tac  $x=\sigma'$  in exI) apply clarify
  apply (rule WTCSub) apply simp apply simp
apply clarify
  apply (case-tac E)
  apply (rule-tac  $x=\tau$  in exI) using wte-cast WTHole apply force
  apply simp apply simp apply simp apply simp
  apply (erule-tac  $x=\text{ctx}$  in allE)

```

```

apply (erule-tac x=r in allE)
apply simp using WTCCast apply blast
apply clarify
  apply (case-tac E)
  apply (rule-tac x=τ in exI) using wte-sel WTHole apply force
  apply simp apply simp
  apply (erule-tac x=ctx in allE)
  apply (erule-tac x=r in allE)
  apply simp apply (erule exE) apply clarify
  apply (rule-tac x=σ in exI) apply (rule conjI)
  apply (rule WTCSel) apply simp apply simp apply simp
  apply simp apply simp
apply clarify
  apply (case-tac E)
  apply (rule-tac x=ObjT ss in exI)
    apply (rule conjI)
    apply simp apply (rule WTHole)
    apply simp apply clarify apply (rule wte-upd) apply simp
      apply simp apply simp apply simp apply simp apply simp
    apply (erule-tac x=ctx in allE)
    apply (erule-tac x=r in allE)
    apply simp apply (erule exE) apply clarify
    apply (rule-tac x=σ in exI) apply (rule conjI)
    apply (rule WTCUpd) apply simp apply simp apply simp
    apply simp apply simp
apply clarify
  apply (case-tac E)
  apply (rule-tac x=ObjT ss in exI)
    apply simp using wte-obj WTHole apply force
    apply simp apply simp apply simp apply simp apply simp
  apply simp+
done

```

```

lemma subterm-typing:
  Γ ⊢ E[r] : τ ⟹ ∃ σ. Γ ⊢ E : σ ⇒ τ ∧ Γ ⊢ r : σ
  using subterm-typing-impl by simp

lemma fill-ctx-welltyped[rule-format]:
  Γ ⊢ E : σ ⇒ τ ⟹ ∀ r. Γ ⊢ r : σ → Γ ⊢ fill E r : τ
  apply (induct rule: welltyped-ctx.induct)
  apply simp
  using wte-app apply force
  using wte-app apply force
  using wte-sub apply blast
  apply clarify apply simp apply (rule wte-sel) apply blast apply blast
  apply clarify apply simp apply (rule wte-upd) apply blast apply blast
  apply blast
  using wte-cast apply force
done

```

9.8 Progress and Preservation

```

constdefs BadCast :: expr ⇒ bool
  BadCast e ≡ ∃ (E::ctx) r. e = E[r] ∧ bad-cast r

lemma progress:
  assumes wte: empty ⊢ e : τ
  shows Values e ∨ (∃ e'. e ↪ e') ∨ BadCast e
proof -
  show ?thesis
  proof (cases Values e)
    assume Values e thus ?thesis by simp
  next assume ¬ Values e
    with wte have x: ∃ σ E r. e = E[r] ∧ empty ⊢ E : σ ⇒ τ ∧ E ∈ wf-ctx
      ∧ empty ⊢ r : σ ∧ (redex r ∨ bad-cast r)
    using welltyped-decomposition[of e τ] by simp
    from x obtain σ E r where eE: e = E[r] and wtc: empty ⊢ E : σ ⇒ τ
      and wfE: E ∈ wf-ctx and wtr: empty ⊢ r : σ
      and rrb: redex r ∨ bad-cast r by blast
    { assume rrb: redex r
      from rrb obtain r' where red: r → r' using redex-def by blast
      from wfE red have E[r] ↪ E[r'] by (rule Step)
      with eE have ?thesis by blast
    } moreover { assume b: bad-cast r
      with eE have BadCast e apply (simp add: BadCast-def) by auto
      hence ?thesis by blast
    } moreover note rrb
      ultimately show ?thesis by blast
  qed
qed

lemma preservation:
  assumes s: e ↪ e'
  and wte: empty ⊢ e : τ
  shows empty ⊢ e' : τ
using s
proof (cases rule: eval-step.cases)
  fix E r r'
  assume a: (e, e') = (E[r], E[r'])
  and wfE: E ∈ wf-ctx
  and rr: r → r'
  from a wte obtain σ where wtc: empty ⊢ E : σ ⇒ τ
    and wtr: empty ⊢ r : σ using subterm-typing by blast
  from wtr rr
  have wtrp: empty ⊢ r' : σ using subject-reduction by blast
  from wtc wtrp have empty ⊢ fill E r' : τ by (rule fill-ctx-welltyped)
  with a show ?thesis by simp
qed

```

9.9 The Main Theorem

```

constdefs finished :: expr  $\Rightarrow$  bool
  finished e  $\equiv$   $\neg(\exists e'. e \longmapsto e')$ 

syntax eval-step-rtrancl :: expr  $\Rightarrow$  expr  $\Rightarrow$  bool (infixl  $\longmapsto^*$  51)
translations  $e \longmapsto^* e' == (e, e') \in \text{eval-step}^*$ 

lemma type-safety-fobj:
  assumes et: empty  $\vdash e : \tau$ 
  and ee:  $e \longmapsto^* e'$ 
  shows empty  $\vdash e' : \tau \wedge (\text{Values } e' \vee \text{BadCast } e' \vee \neg(\text{finished } e'))$ 
  using ee et
  proof (induct rule: rtrancl.induct)
    fix a assume wta: empty  $\vdash a : \tau$ 
    from wta have Values a  $\vee (\exists e'. a \longmapsto e') \vee \text{BadCast } a$  by (rule progress)
    with wta show empty  $\vdash a : \tau \wedge (\text{Values } a \vee \text{BadCast } a \vee \neg(\text{finished } a))$ 
      using finished-def by auto
  next
    fix a b c
    assume IH: empty  $\vdash a : \tau \implies$  empty  $\vdash b : \tau \wedge (\text{Values } b \vee \text{BadCast } b \vee \neg(\text{finished } b))$ 
    and bc:  $b \longmapsto c$  and wta: empty  $\vdash a : \tau$ 
    from wta IH have wtb: empty  $\vdash b : \tau$  by simp
    from bc wtb have wtc: empty  $\vdash c : \tau$  by (rule preservation)
    from wtc have Values c  $\vee (\exists e'. c \longmapsto e') \vee \text{BadCast } c$  by (rule progress)
    with wtc show empty  $\vdash c : \tau \wedge (\text{Values } c \vee \text{BadCast } c \vee \neg(\text{finished } c))$ 
      using finished-def by auto
  qed

lemma compilation-total-impl:
   $(\Gamma \vdash_G e : \tau \longrightarrow (\exists e'. \Gamma \vdash e \Rightarrow e' : \tau))$ 
   $\wedge (\Gamma \vdash_G m : s \text{ in } \tau \longrightarrow (\exists m'. \Gamma \vdash m \Rightarrow m' : s \text{ in } \tau))$ 
   $\wedge (\Gamma \vdash_G ms :: ss \text{ in } \tau \longrightarrow (\exists ms'. \Gamma \vdash ms \Rightarrow ms' :: ss \text{ in } \tau))$ 
  sorry

lemma compilation-total:
   $\Gamma \vdash_G e : \tau \implies \exists e'. \Gamma \vdash e \Rightarrow e' : \tau$ 
  using compilation-total-impl by blast

theorem type-safety:
  assumes c: empty  $\vdash e \Rightarrow e' : \tau$ 
  and ee:  $e' \longmapsto^* e''$ 
  and te: finished e"
  shows (Values e"  $\vee \text{BadCast } e'' \wedge$  empty  $\vdash e'' : \tau$ )
  proof -
    from c have et: empty  $\vdash e' : \tau$  by (rule compilation-sound)
    from et ee te show ?thesis using type-safety-fobj by blast
  qed

```

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